# UNITED STATES DISTRICT COURT DISTRICT OF MINNESOTA

IN RE: NATIONAL HOCKEY LEAGUE PLAYERS' CONCUSSION INJURY	)	MDL No. 14-2551 (SRN/JSM)
LITIGATION	)	DECLARATION OF CHARLES S.
	)	ZIMMERMAN IN SUPPORT OF
	)	TRUSTEES OF BOSTON
	)	UNIVERSITY'S OPPOSITION TO
This Document Relates To:	)	NATIONAL HOCKEY LEAGUE'S
ALL ACTIONS	)	MOTION TO COMPEL

- I, Charles S Zimmerman, declare as follows:
- 1. I am the founding partner of the law firm of Zimmerman Reed LLP, which the Court has appointed co-lead counsel in this matter. I submit this declaration in support of the Trustees of Boston University's Memorandum in Opposition to National Hockey League's Motion to Compel.
- 2. I have personal knowledge of the facts stated in this declaration and, if called upon to do so, could and would competently testify thereto.
- 3. Attached hereto as Exhibit A is a true and correct copy of a letter from Dr. Bennet Omalu to me, dated February 8, 2017.
- 4. Attached hereto as Exhibit B is a true and correct copy of Dr. Bennet Omalu's current CV.

I declare under the penalty of perjury under the laws of the United States that the foregoing is true and correct.

Date: February 8, 2017 <u>s/ Charles S. Zimmerman</u>
Charles S. Zimmerman

# **EXHIBIT A**

# **BENNET OMALU PATHOLOGY**

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Public Health Management
Anatomic Pathology
Clinical Pathology & Toxicology
Forensic Pathology
Neuropathology
Epidemiology
Medico-legal Consultations

February 8, 2017

Charles S. Zimmerman, Esq. Chairman, Zimmerman Reed, LLP 1100 IDS Center, 80 South 8<sup>th</sup> Street Minneapolis, MN 55402

Dear Mr. Zimmerman,

# RE: National Hockey League Players' Concussion Injury Litigation

I completed medical school in 1990 at the University of Nigeria, Enugu, Nigeria. Upon graduating from medical school, I completed a one-year clinical housemanship at the University of Nigeria Teaching Hospital in the fields of pediatrics, internal medicine, general surgery, obstetrics and gynecology. After housemanship, I became an emergency room physician at a university hospital in Nigeria and remained an emergency room physician for approximately three years. I sat for, and passed my United States Medical Licensing Examinations [USMLE] and I came to the United States in 1994. I completed residency and fellowship training in four subspecialties of medicine namely anatomic pathology, clinical pathology, forensic pathology and neuropathology. I hold four board-certifications in these four subspecialties of medicine. I also hold a Masters in Public Health [MPH] degree in Epidemiology and a Masters in Business Administration [MBA] degree from the Tepper School of Business, Carnegie Mellon University, one of the leading business schools in the world. I am a Certified Physician Executive and an honorary fellow of the American Association of Physician Leadership [AAPL]. I also hold a fifth board-certification in medical management from the AAPL. I am licensed to practice medicine and surgery in four states in the United States namely Hawaii, California, Indiana and Pennsylvania.

I am currently the Chief Medical Examiner of San Joaquin County, California; the President of Bennet Omalu Pathology, a California medico-legal consulting firm, and a Clinical Professor at the Department of Medical Pathology and Laboratory Medicine, University of California, Davis. I am a practicing forensic pathologist and neuropathologist and there are less than a few dozen practicing forensic pathologists-neuropathologists in the United States who are board-certified in both forensic pathology and neuropathology.

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For over eighteen years, I have been involved in over seven thousand death and injury investigations in my career as a forensic pathologist and neuropathologist, which began in 1999. I have personally conducted and performed over six thousand autopsies and death investigations, and examined over five thousand brain tissue specimens. I also perform trauma pattern analysis in both living patients and deceased patients to determine causes and mechanisms of sustenance of injuries and death. I am also involved in the evaluation of living victims of all types of injuries and trauma, including but not limited to victims of assault, traumatic falls, industrial and accidental injuries, medical complications and misadventures, rape, child abuse and sports-related injuries. I have been consulted and retained as an expert witness in over one thousand cases involving all types of medico-legal cases across all jurisdictions in the United States including federal, state, county and municipal courts and arbitration panels; in both civil and criminal cases, for the plaintiff, defense, district attorneys and public defenders. I have been involved as an expert witness in complex class action and industrial law suits involving thousands of individuals and major corporations.

My major areas of interest and focus are brain injuries and brain trauma, in both living and deceased patients. I identified Chronic Traumatic Encephalopathy [CTE] in a retired football player, when I performed an autopsy and examined the brain of Mike Webster. Subsequently, I identified CTE in other high-impact, high-contact sports athletes and in military veterans suffering from Post-Traumatic Stress Disorder [PTSD]. Since 2002 CTE has finally received begrudging attention from the sports industry, sports medicine and neuroscience. My work has been featured extensively in all media platforms across the world. My work and life were featured in a major Hollywood film, "Concussion" released in December 2015 by Sony Motion Pictures, in which the renowned actor, Will Smith, played me as Dr. Omalu. Several New York Times best-selling books have also been published on my life and work including "The League of Denial" and "Concussion". I have published several books and my latest book "Truth Doesn't Have a Side" will be published in August 2017. I have published extensively in the medical and scientific literature authoring many scientific papers and book chapters, with a major focus on brain injury and brain trauma.

I have received two honorary PhD degrees from two universities in the United States in recognition of my work and expertise in brain injury and trauma. I will be receiving my third honorary PhD by the summer of 2017 from a very highly respected physician organization in Europe, again for my work and expertise in brain injury and trauma. I have also received numerous awards from across the world in recognition for my work and expertise in brain injury and trauma in both living and deceased patients. I have received the "Distinguished Service Award" from the American Medical Association [AMA], which is the highest award a physician can receive from the AMA. I have been honored by the United States Congress for my work on brain injury and trauma and I have appeared on multiple occasions before committees of the United States Congress and committees of State Legislatures across the Unites States advising them on matters relating to brain injury and trauma.

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Since 1999 I have testified as an expert witness in matters relating to all types of injuries and death in over 450 court proceedings across the United States. I have attached a copy of my curriculum vitae, which enumerates my body of work and experience in greater detail.

Pursuant to your request, I have reviewed the declaration of Rudy Castellani, MD, in the matter of the National Hockey League Players' Concussion Injury Litigation. Please find below my response to Dr. Castellani's declaration.

#### **Introduction:**

In 2002, after the Mike Webster autopsy, I carried out extensive research into the very wellestablished sequelae of traumatic brain injury. That research confirmed that it has long been, and remains a generally accepted principle of medicine and common knowledge of science, that when the human being suffers blunt force trauma of the head and accelerationdeceleration injuries of the brain, a forensically significant risk of suffering permanent brain damage results, which can manifest with a broad spectrum of diseases that include neurobehavioral, cognitive and motor disorders (1). Across the years these diseases have been known by a broad variety of names. However, with the tremendous advances in science, we now have a better understanding of the pathology of these diseases. Today, the concept of traumatic encephalopathy syndromes encompasses all these diseases, including Sub-Concussion, Concussion, Post-Concussion Syndrome, Chronic Traumatic Encephalopathy [CTE], Post-Traumatic Encephalopathy, Post-Traumatic Epilepsy, Post-Traumatic Cerebral Vasculopathy, Dementias [Alzheimer's Disease, Fronto-Temporal Dementia, Lewy Body Disease], Amyotrophic Lateral Sclerosis [ALS], Chronic Traumatic Myelo-Encepahlopathy [CTE-ALS], Parkinson's Disease, Chronic Traumatic Encephalopathy-Parkinson's Disease, Post Traumatic Stress Disorder, Dementia Pugilistica etc.

#### **Historical antecedents:**

In spite of this long history of very well-established science and common knowledge, sports organizations and leagues that govern high-impact, high-contact sports in the United States like boxing, American football and ice hockey have historically misappropriated, denied, undermined and dismissed this science in order to protect and expand their brand equity, financial health and market share. In other words, they deny the truth and humanity of science in order to protect their revenue streams. Based on historical precedence, which will be presented below, these sports organizations may actually go even farther to influence science and steer the direction of outcomes of scientific research to their benefit. They identify some doctors with certain unique characteristics, supply them with status and lots of money and have them propose scientific concepts, do bogus research, turn out scientific papers that will perpetuate their sports, brands and revenues.

Through Dr. Castellani's declaration, the National Hockey League [NHL], by denying the science of CTE and other traumatic encephalopathy syndromes, is doing exactly what the New York State Boxing Commission and the National Football League [NFL] did, beginning in the

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1950's across the 1980's up until 2010 [This will be discussed in greater detail below, but has been discussed at length in my book (1)]. The current positioning of the NHL is a mirror image of the positioning of the New York State Boxing Commission and the NFL decades ago. It is pertinent to note that both the boxing commission and the NFL failed in their attempts to discredit the science and truth of CTE and traumatic encephalopathy syndromes in their respective sports. Today, the boxing commission and the NFL recognize that playing high-impact, high-contact sports can damage the human brain. As human beings, we are not like other animals like woodpecker birds that have anatomic configurations that protect their brains from the risk of damage from blunt force trauma and acceleration-deceleration forces.

The historical positioning of these organizations is to retain doctors like Dr. Rudy Castellani who use the privileges of their licensure and certification to make calculated attempts to deny the generally accepted principles of science and medicine, denying that common humanity we all share together through science. These doctors are usually doctors of meagre repute with very marginal and outlying opinions and interpretations of science that are grossly outside the widely and generally accepted principles and standards of science and medicine. They propose "alternative facts" that cannot pass the "Daubert Standard" and use the prominent platforms of these extremely rich and famous sports leagues to propagate their "alternative facts' and falsehoods. Historically they eventually fail, but after inflicting irreparable harm because the health and safety of the public is undermined.

Standards of practice and generally accepted principles of science and medicine: Based on his declaration, as an expert of the NHL, Dr. Castellani is using the platform of the NHL to place himself above and outside the global scientific community and colleges of physicians around the world. He has placed himself above and outside the standards of practice of science and medicine, and the generally accepted principles of science and medicine.

The generally accepted standards of scientific publications stipulate that when a group of researchers and physicians have done good scientific work and applied known scientific methodologies, they generate data and outcomes which are analyzed to derive conclusions. This group of researchers will then submit a write-up of their research, in the form of a manuscript to a scientific or medical journal. The manuscript will state the objectives of the research, the methodologies of the research, the results of the research and a discussion of the results and conclusions. The strengths and weaknesses of their methodologies are also discussed. The editor and sub-editors of the journal will then assign the submitted manuscript to a panel of scientists, experts and physicians to independently review the manuscript and evaluate the scientific merit, validity, authenticity and originality of the objectives, methodologies, results, conclusions and discussion, and judge if the manuscript falls within the generally accepted principles and standards of science and medicine. The reviewers are isolated from any eternal, biasing influences. If the panel of scientists, experts and physicians, as well as the editor and sub-editor, independently agree that the manuscript fulfills all these criteria, many times after revisions and amendments suggested by the panel are made, the

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manuscript is accepted for publication and published. The is the peer-review process, which is the standard of practice of science and medicine.

After a paper is published in a scientific or medical journal, some scientists or physicians may not agree with the contents of a paper for whatever reason, including personal opinion. The personal opinion of one or several physicians does not supersede generally accepted principles of science and medicine, or the standards of practice of science and medicine. For example, there are physicians today who believe that vaccines are harmful for children and cause all types of harm. The opinions of these marginal physicians cannot and does not change the generally accepted principles of science and medicine that vaccines are the most potent and successful modality for the prevention of dangerous and lethal infectious diseases in children and adults. Dr. Castellani has every right to disagree with traumatic encephalopathy syndromes; that is his personal opinion. However, his personal opinion, cannot, should not, and does not change the generally accepted principles of science and medicine that every human activity that exposes the human head and brain to blunt force trauma and accelerationdeceleration forces with or without a helmet, inside or outside sports, can cause brain damage, which can be permanent. Dr. Castellani has every right to disagree with the standards of practice of science and medicine, and with the standards of practice of the peer-review process for scientific publications. Again, that is his personal opinion, which cannot and does not change the generally accepted principles of science and medicine.

Once a scientific or medical paper is published, the methodologies of the paper have been tested, evaluated and validated by the peer-review process by a panel of scientists, experts and physicians who are members of the editorial board of a scientific journal. Once a published paper has passed through the comprehensive test of peer-review and been published, that paper is a validated paper, which applied generally accepted principles and standards of science and medicine. The members of these boards who peer-review papers for publication are typically the very best and most accomplished leaders of thought in their respective fields and specialties. Dr. Castellani, the last time I checked, is not a leader of thought in any field of science or medicine.

If any scientist has any problem or issues with the paper, he or she should follow the standards of practice, which is to contact the editor of the journal and express in writing his or her issues with the paper. The editor would again assign the complaint to a non-partisan panel of scientists, experts and physicians who would review and evaluate the complaint and judge the merit of the complaint. If the complaint has no merit, it would simply be dismissed. If it has merit, the issues in question will be adequately addressed by the editorial board and the authors of the paper in question. This is the academic peer-review process, which is transparent. Most times the complaint letter, the response from the editorial board and the response from the authors of the paper are published for public consumption in the respective scientific journal. Dr. Castellani's declaration and opinions go way outside the generally accepted principles of science and medicine, common knowledge and standards of practice of science and medicine.

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## The Mike Webster paper:

My first paper, the Mike Webster paper (2), which Dr. Castellani has dismissed and ridiculed, went through this rigorous scientific process in 2006, over ten years ago. In 2005 after the Mike Webster paper was published (2), doctors who belong to the same creed as Dr. Castellani, who were paid experts of another sports league, the NFL, disagreed with me and made exactly the same accusations and bogus "alternative fact" statements that Dr. Castellani is making on behalf of yet another league, the NHL, almost eleven years later. These experts of the NFL were Dr Elliot Pellman, Dr. Ira Casson and Dr. David Viano (3, 4). While Dr. Castellani belongs to the same creed as these NFL doctors, these NFL doctors did not do what Dr. Castellani is doing. They did not place themselves above and outside the generally accepted principles of science and medicine and the standards of practice of science and medicine like Dr. Castellani is doing.

These NFL doctors respected the generally accepted principles and standards of practice of science and medicine, and sent a letter to the editor of the Neurosurgery journal, which is one of the most respected and most reputable neurosurgery journals in the world. The Neurosurgery journal peer-reviewed and published the Mike Webster paper and the second CTE paper, the Terry Long paper (5). The editorial board of the Neurosurgery paper received their letter, reviewed it and asked me to write a response according to the generally accepted principles and standards of practice of science and medicine. It is rather unsurprising that the same issues Dr. Castellani is raising in his declaration were raised by these NFL doctors because they have something in common. They are experts hired by professional sports leagues to protect their financial and brand turfs rather than protect the truth and humanity of science and medicine. The editorial board of the Neurosurgery journal reviewed the letter the NFL doctors sent, evaluated the issues they raised, reviewed my response to the issues they raised (3, 4), and issued a lengthy and scathing judgement against the NFL and their doctors in the form of a statement written by Dr. Donald Marion, who was a sub-editor of the journal (3). Dr. Marion concluded his judgement and statement with the following paragraph:

"As members of the Mild Traumatic Brain Injury Committee of the NFL, and clinician-scientists that are clearly devoted to the investigation of sports-related concussion, Drs. Casson, Pellman, and Viano should welcome the contribution from Omalu et al. and consider the findings of that report highly relevant to their own research, rather than recommending retraction of the article. The need to obtain more details regarding premorbid neuropsychological deficits and specific episodes of concussion is clearly recognized and stated by Omalu et al. in their paper, but the histopathological findings are clearly described and consistent with a previous history of brain injury. Together with subsequent reports of autopsy results from NFL players, which hopefully will include the important premorbid clinical details, we will begin to establish a reliable definition

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of chronic traumatic encephalopathy typical for professional football players." (3)

After this judgment was rendered within the generally accepted principles and standards of practice of science and medicine, thousands of scientific papers have been published in scientific journals across the world on CTE. My findings in the Mike Webster paper were followed up very quickly by a second paper, the Terry Long paper (5), and by third and fourth papers, which reported the Andre Waters and Chris Benoit cases (6, 7). As a certified epidemiologist, I applied very well-established epidemiological methods on how to introduce concepts into the scientific literature within the generally accepted principles and standards of practice of science and medicine. I adhered to these principles and standards and reported a first case as my sentinel case, and followed up with more case reports and then a case series report. My work has since been independently reproduced and validated by researchers across the world including Boston University. The principle that when a human being is exposed to blunt force trauma of the head and/or acceleration-deceleration injuries of the brain, there is a significant risk of suffering brain damage, is not a novel principle. It is a generally accepted principle of science and medicine that has been very well-established and generally accepted for many years. The scientific basis of Dr. Castellani's declaration are critically flawed and are extremely and excessively outside the generally accepted principles and standards of practice of science and medicine.

## **Boston University:**

Boston University has done excellent scientific work on CTE and has published excellent papers in a variety of highly reputable and respected scientific journals across the world. Their scientific work and publications were presented in the form of manuscripts, within the standards of practice of science and medicine. Their objectives, methodologies, results and conclusions were reviewed and validated by hundreds of leading scientists, experts and physicians across the world, and were published. It is troubling and professionally negligent that a single physician, Dr. Rudy Castellani, an expert of the NHL, an interested party in the scientific outcomes of CTE, would single-handedly challenge the communities and colleges of scientists and physicians across the world, challenge the standards and generally accepted principles of science and medicine, and refute the widely validated and published work of a group of researchers, scientists and physicians in a major university in the United States of America. He is positing that as an expert of the NHL, only he knows it best, and he knows it better than the hundreds to thousands of scientists, experts, physicians, editors and subeditors across the world who have reviewed, validated and published the methodologies of Boston University and other universities across the world. This is a very dangerous approach that undermines the primal foundations of science and medicine.

A sports league, no matter how rich and famous, should not be meddling in science and setting standards of practice in science and medicine. Fortunately, similar antecedent attempts in this regard by other sports leagues failed. This new attempt by the NHL and Dr. Castellani will not

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be the first time something like this is happening in science. As a licensed physician and scientist, I will oppose, in the strongest terms possible, Dr. Castellani's attempt, on behalf of the NHL, to compromise the integrity of the independent standards of practice of science and the scientific process. These standards should in no way be steered by interested parties and industries like a powerful sports league. The laudable works and respected research of Boston University and other universities have been published in the scientific literature after very rigorous and independent peer-reviews according to the standards of practice of science and medicine. Their objectives, methodologies, results and conclusions have been peer-reviewed, validated and published. This is where the process ends. The scientific peer-review process which was done independently by scientists and physicians across the world has validated and confirmed the scientific precision, proficiency and sufficiency of the methodologies of Boston University and other universities. This is where this objective process ends. Dr. Rudy Castellani's opinion and request, on behalf of a sports league, the NHL, undermines the integrity, purity and independence of this long-established process and standards of practice, which have been polished and fine-tuned across the centuries by the colleges of scientists and physicians across the world who came before us.

History, in its own generous way, takes care of the opinions and practices of doctors like Dr. Rudy Castellani of the NHL, Dr Elliot Pellman, Dr. Ira Casson and Dr. David Viano of the NFL, and Dr. Harry Kaplan, Dr. Jefferson Browder and Dr. Ira McCown of the New York State Boxing Commission. Boston University is not the only university in the world that has done work and research, and published on CTE and traumatic encephalopathy syndromes. There are thousands of papers already published over the years on these subjects. I do not know why Dr. Castellani is choosing and singling out Boston University in his declaration. In so doing, and according to his declaration, the methodologies and work of every university (8, 9) who has published work on CTE and traumatic encephalopathy syndromes should be reviewed again, and validated by him.

# The NHL is doing exactly what the boxing commission and the NFL did:

In October 1969 the House of Lords of the United Kingdom and the Royal Colleges of Physicians of London confirmed and published that the prevailing scientific evidence and the body of scientific work and literature across the centuries supported that there was a significant risk of chronic brain damage in athletes who engage in high-impact and high-contact sports like boxing (10, 11). In spite of this established principle, boxing associations and the doctors they hired denied this prevailing evidence and claimed that even if boxing caused brain damage, it was an extremely rare event in boxers (1).

Beginning in the 1700's doctors and scientists began to recognize increasingly emerging evidence that high-impact, high-contact sports like boxing can cause brain damage in human beings. With advancing technologies, pathologists in the United States began to demonstrate the pathological evidence of this suspected brain damage in the late 1800's and early 1900's and numerous scientific papers were published on this subject (1). It became a generally accepted principle of science and medicine.

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In spite of this prevailing body of work and published scientific evidence, the New York State Boxing Commission, in 1954 hired and retained two physicians, to act as their experts, just like Dr. Rudy Castellani has been retained by the NHL. These two doctors were Dr. Harry Kaplan and Dr. Jefferson Browder. The two doctors were offered research money and research collaboration with the boxing commission to study the effects of blows to the head sustained by professional boxers. As expected, the New York State Boxing Commission, Dr. Kaplan and Dr. Browder published the results of their study (12) claiming that their study of the brains of boxers confirmed that boxing did not cause brain damage in boxers. In their study, they examined the brains of 1,043 professional boxers in all divisions of boxing using electroencephalography, which was mostly performed within 10 minutes of the cessation of a bout, either at the offices of the New York State Boxing Commission or at the Eastern Parkway Boxing Arena in New York City. They opined that there was no evidence that blows to the head and/or concussions caused permanent brain damage in boxers (12).

Dr. Ira McCown, another expert of the boxing commission, who was the medical director of the New York State Athletic Commission proudly reiterated the opinions of Dr. Kaplan and Dr. Browder in two additional papers he published in 1959 (13, 14) defending the sport of boxing. Dr. McCown stated that permanent brain damage in boxers "had never been proven to be a neurological syndrome peculiar to boxers and produced by boxing. It has, unfortunately, become a slick medical cliché with which to label any boxer whose performance and behavior in or out of the ring is unsatisfactory or abnormal". In his summary, Dr. McCown concluded that "No clinical or laboratory evidence was found which would substantiate the so-called punch-drunk syndrome that has so often been erroneously identified with boxers" (14). Dr. McCown inferred that since the statistics of the New York State Athletic Commission confirmed only seven fatalities over a fourteen-year period [1946 – 1951: seven deaths; 1952 – 1958: zero death], boxing was a relatively safe sport as compared to American football and baseball, which showed higher fatalities (13-15). Paradoxically, Dr. McCown still recognized that "boxing has often been considered the most dangerous of all the competitive sports" (13).

This historical narrative illustrates that the current position of Dr. Rudy Castellani and the NHL will not be the first time a sports league or association is using a doctor and their expert to misappropriate scientific evidence. Unfortunately, medicine is not an absolute science like Mathematics and Physics which deal with absolutes. The science of medicine can become a dangerous tool in the hands of a conniving mind with an agenda other than good science. The different perspectives of an issue in medicine, which may not be absolute, can be thwarted, packaged and presented to support even the most ridiculously outlying propositions, and an "alternative fact" can be made to look almost exactly the same as the true fact. This is why the concepts of "standards of practice" and the "generally accepted principles of medicine" are paramount and must be adhered to in all aspects of medicine, including legal medicine and medical pathology.

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This tradition of the sports leagues and organizations did not end with the New York Boxing Commission. Unfortunately, in the same New York City, about 30 years later, the NFL and their retained doctors re-enacted the same ploy, as unbelievable as it may seem (1).

Beginning in the 1980's into the 1990's there was an increasing recognition by the public of the burdens of repeated blows to the head on NFL players who were retiring prematurely because of brain damage (16). Top American football players like Al Toon [New York Jets] and Merrill Hoge [Pittsburgh Steelers] retired in 1992 and 1993, respectively (17). In response, the NFL retained a team of experts, just like Dr. Rudy Castellani, and created a committee they called the Committee on Mild Traumatic Brain Injury [CMTBI]. The membership pre-requisites and qualifications of this committee were unclarified (17). The majority of the members already had business and professional relationships with the NFL. For example, the chairman of the committee was Dr. Elliot Pellman, who was a rheumatologist, a personal physician to the NFL commissioner, and an associate team physician for the New York Jets. Dr. Pellman admitted in a guest editorial in 2003 (17) that he and other NFL team physicians did not know much about concussions and they dedicated the first several months of meetings, after their commission in 1994, to the definition of concussions. They "quickly decided" to rename concussions, mitigate the seriousness of concussions, and give it "the more academically appropriate term, mild traumatic brain injury, which is more commonly referred to as MTBI" (17). There was no basis provided for this redefinition or for why members of the committee, who admittedly did not know much about concussions, would think that concussions were mild types of traumatic brain injury without permanent sequelae.

Dr. Pellman stated the following: "Although published information existed, most of what I – like other team physicians – knew about concussions was from on-field anecdotes passed on from other team physicians and athletic trainers who had been treating professional football players for many years. During my years of medical school, internal medicine training [including an extra year as chief medical resident], and fellowship, from 1975 to 1986, I had never received a single lecture on concussions. As I learned later, this was typical of physician training for what was then an often underdiagnosed and little understood clinical condition." (17).

In his editorial in October 2003 titled "Tackling concussions in sports." (18), the NFL commissioner, Paul Taglibue, touted the NFL's advancing understanding of concussions predicated upon the research and recommendations of the CMTBI and saluted the CMTBI for their leadership. With the unlimited financial wherewithal, power and influence of the NFL, the members of this committee embarked on a chain of research programs and studies to show that American football did not cause brain damage. Their major focus was on the helmet as the technological tool for the control and management of the epidemic of concussions in football (17, 19). The NFL published numerous scientific papers to support their propositions that football did not cause brain damage (16, 19-34). It is pertinent to note, that right from the onset, the NFL chose to publish their research findings, analyses and conclusions only in one journal, and pre-selected a single journal to publish all their papers (17). As expected the NFL

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failed to identify or recognize CTE and even denied and dismissed the existence of CTE when it was identified by an unexpected source, Dr. Omalu (2-5, 35).

The NFL and their retained physicians stated that "Another often-expressed concern underlying the development of mild TBI guidelines is the occurrence of chronic brain damage as a result of multiple head injuries. A recent letter to the editor in Neurosurgery addressed the case of an NFL player who was alleged to have died of complications of chronic traumatic encephalopathy, underscoring this concern (3). Chronic traumatic encephalopathy in boxers is a well-accepted and documented clinical and pathological syndrome. The clinical features include a combination of cerebellar, extrapyramidal, and pyramidal dysfunction, along with cognitive and personality changes. In the NFL study, none of these features was identified in any player, including those with repeated injury. There were no signs of chronic traumatic encephalopathy in this group of active, contemporary football players" (16, 36, 37). The NFL and their physicians, through their publications, posited that players of American football, as a high-impact, high-contact sport, did not suffer any type of brain damage from playing football.

The NFL and their physicians claimed that their research showed that it was safe and actually safer and better to return a concussed player to the same game in which he was concussed (25, 38). They concluded that "Players who are concussed and return to the same game have fewer initial signs and symptoms than those removed from play. Return to play does not involve a significant risk of a second injury either in the same game or during the season." They further stated: "The results of this article indicate that many NFL players can be safely allowed to return to play on the day of injury after sustaining an MTBI." (25) and actually suggested that their analysis and conclusion in professional football players may have relevance and may be applied to college and high school football players (25). In yet another review paper for neurologists, the NFL and their physicians concluded and recommended, based on their research, data and analyses, that there was no clinical outcome difference between a single concussion and repeated concussions in a professional football player, and actually reaffirmed that returning a concussed player to the same game reduces the total number of clinical signs and symptoms (36, 37).

Similar to the NFL, Governor Dewey of New York State appointed the very first medical advisory board for the New York State Athletic Commission in 1948 (13, 14). The board consisted of nine members comprising a chairman and other outstanding specialists and authorities in sports medicine, including a neurologist, psychiatrist, orthopedic surgeon, ophthalmologist, internist, general surgeon, dentist, and a specialist in industrial medicine. These specialists were regarded as experts in fields of medicine that pertained to the medical aspects of boxing. The committee was charged with formulation of plans and standards for the medical examination of boxers and wrestlers, and recommendation of safety measures to protect these athletes. Prior to this time, the local clubs and boxers' managers assumed the financial responsibility for the medical welfare of their boxers. However and unfortunately, the stance of Dr. McCown, the medical director of the New York State Athletic Commission, during this time, was that boxing was a relatively safe sport that rarely, if at all, caused brain damage in boxers (13, 14). Just like the NFL CMTBI, the medical advisory board of the New York State

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Athletic Commission undertook scientific research, which purportedly showed no evidence of permanent brain damage in boxers (12). According to Dr. McCown, the medical advisory board was successful because the fatality rate of boxing in New York State dropped from seven deaths in 1946 to 1951, to zero death in 1952 to 1958 (13, 14) based upon the medical measures and recommendations advocated and adopted by the medical advisory board and the New York State Athletic Commission for the safety and welfare of boxers. He opined that professional boxing will survive and prosper under the measures and programs they had implemented (13).

In conclusion, therefore, the trend here is vividly obvious. The NHL and their expert, Dr. Rudy Castellani, are using the same failed and scientifically unfounded ploy the New York State Boxing Commission, the NFL and their experts employed many years ago, denying that there is a danger or risk of brain damage in high-impact, high-contact sports. Dr. Rudy Castellani's declaration and opinion about traumatic encephalopathy syndromes in high-impact, high-contact sports, like ice hockey, are excessively and extremely outside the generally accepted principles of science and medicine, and the standards of practice of science and medicine. His declaration and opinion do not serve that common humanity we all share together in science and medicine. The NHL and Dr. Rudy Castellani are presenting the same old perspective that has long ago been debunked and dismissed as false.

I have provided my opinions with a reasonable degree of medical certainty.

Thank you.

Very truly yours,

Bennet I. Omalu, MD, MBA, MPH, CPE, DABP-AP, CP, FP, NP

 ${\bf Clinical/Anatomic\ Pathologist/Forensic\ Pathologist/Neuropathologist/Epidemiologist}$ 

Chief Medical Examiner, San Joaquin County, California

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Clinical Professor of Medical Pathology and Laboratory Medicine, University of California, Davis President, Bennet Omalu Pathology

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#### **References:**

- 1. Omalu B. A Historical Foundation of CTE in Football Players: Before the NFL, there was CTE2014.
- 2. Omalu BI, DeKosky ST, Minster RL, Kamboh MI, Hamilton RL, Wecht CH. Chronic traumatic encephalopathy in a National Football League player. Neurosurgery. 2005;57(1):128-34; discussion -34.
- 3. Casson IR, Pellman EJ, Viano DC. Chronic traumatic encephalopathy in a National Football League player. Neurosurgery. 2006;58(5):E1003; author reply E; discussion E.
- 4. Casson IR, Pellman EJ, Viano DC. Chronic traumatic encephalopathy in a National Football League player. Neurosurgery. 2006;59(5):E1152.
- 5. Omalu BI, DeKosky ST, Hamilton RL, Minster RL, Kamboh MI, Shakir AM, et al. Chronic traumatic encephalopathy in a national football league player: part II. Neurosurgery. 2006;59(5):1086-92; discussion 92-3.
- 6. Omalu BI, Hamilton RL, Kamboh MI, DeKosky ST, Bailes J. Chronic traumatic encephalopathy in a national football league player: case report and emerging medicolegal practice questions. J Forensic Nurs. 2010;6(1):40-6.
- 7. Omalu BI, Fitzsimmons RP, Hammers J, Bailes J. Chronic traumatic encephalopathy in a professional American wrestler. Journal of Forensic Nursing. 2010;6(3):130-6.
- 8. Bieniek KF, Ross OA, Cormier KA, Walton RL, Soto-Ortolaza A, Johnston AE, et al. Chronic traumatic encephalopathy pathology in a neurodegenerative disorders brain bank. Acta Neuropathol. 2015;130(6):877-89.
- 9. Sariaslan A, Sharp DJ, D'Onofrio BM, Larsson H, Fazel S. Long-Term Outcomes Associated with Traumatic Brain Injury in Childhood and Adolescence: A Nationwide Swedish Cohort Study of a Wide Range of Medical and Social Outcomes. PLoS Med. 2016;13(8):e1002103.
- 10. Royal College of Physicians of London. Committee on Boxing. Report on the medical aspects of boxing. London,: Royal College of Physicians of London; 1969. 11 p. p.
- 11. Roberts AH. Brain damage in boxers. A study of the prevalence of traumatic encephalopathy among ex-professional boxers. London: Pitman Medical and Scientific Publishing Co.; 1969.
- 12. Kaplan HA, Browder J. Observations on the clinical and brain wave patterns of professional boxers. J Am Med Assoc. 1954;156(12):1138-44.
- 13. McCown IA. Protecting the boxer. J Am Med Assoc. 1959;169(13):1409-13.
- 14. McCown IA. Boxing injuries. American Journal of Surgery. 1959;98:509-16.
- 15. Gonzales TA. Fatal injuries in competitive sports. J Am Med Assoc. 1951;146(16):1506-11.
- 16. Pellman EJ, Viano DC. Concussion in professional football: summary of the research conducted by the National Football League's Committee on Mild Traumatic Brain Injury. Neurosurg Focus. 2006;21(4):E12.
- 17. Pellman EJ. Background on the National Football League's research on concussion in professional football. Neurosurgery. 2003;53(4):797-8.
- 18. Tagliabue P. Tackling concussions in sports. Neurosurgery. 2003;53(4):796.
- 19. Pellman EJ, Viano DC, Tucker AM, Casson IR, Waeckerle JF. Concussion in professional football: reconstruction of game impacts and injuries. Neurosurgery. 2003;53(4):799-812; discussion -4.

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- 21. Pellman EJ, Powell JW, Viano DC, Casson IR, Tucker AM, Feuer H, et al. Concussion in professional football: epidemiological features of game injuries and review of the literature--part 3. Neurosurgery. 2004;54(1):81-94; discussion -6.
- 22. Pellman EJ, Viano DC, Casson IR, Tucker AM, Waeckerle JF, Powell JW, et al. Concussion in professional football: repeat injuries--part 4. Neurosurgery. 2004;55(4):860-73; discussion 73-6.
- 23. Pellman EJ, Viano DC, Casson IR, Arfken C, Powell J. Concussion in professional football: injuries involving 7 or more days out—Part 5. Neurosurgery. 2004;55(5):1100-19.
- 24. Pellman EJ, Lovell MR, Viano DC, Casson IR, Tucker AM. Concussion in professional football: neuropsychological testing--part 6. Neurosurgery. 2004;55(6):1290-303; discussion 303-5.
- 25. Pellman EJ, Viano DC, Casson IR, Arfken C, Feuer H. Concussion in professional football: players returning to the same game--part 7. Neurosurgery. 2005;56(1):79-90; discussion 2.
- 26. Viano DC, Pellman EJ. Concussion in professional football: biomechanics of the striking player--part 8. Neurosurgery. 2005;56(2):266-80; discussion -80.
- 27. Viano DC, Pellman EJ, Withnall C, Shewchenko N. Concussion in professional football: performance of newer helmets in reconstructed game impacts--Part 13. Neurosurgery. 2006;59(3):591-606; discussion 591-606.
- 28. Pellman EJ, Lovell MR, Viano DC, Casson IR. Concussion in professional football: recovery of NFL and high school athletes assessed by computerized neuropsychological testing--Part 12. Neurosurgery. 2006;58(2):263-74; discussion -74.
- 29. Viano DC, Casson IR, Pellman EJ, Bir CA, Zhang L, Sherman DC, et al. Concussion in professional football: comparison with boxing head impacts--part 10. Neurosurgery. 2005;57(6):1154-72; discussion -72.
- 30. Viano DC, Casson IR, Pellman EJ, Zhang L, King AI, Yang KH. Concussion in professional football: brain responses by finite element analysis: part 9. Neurosurgery. 2005;57(5):891-916; discussion 891-916.
- 31. Viano DC, Casson IR, Pellman EJ. Concussion in professional football: biomechanics of the struck player--part 14. Neurosurgery. 2007;61(2):313-27; discussion 27-8.
- 32. Pellman EJ, Viano DC, Withnall C, Shewchenko N, Bir CA, Halstead PD. Concussion in professional football: helmet testing to assess impact performance--part 11. Neurosurgery. 2006;58(1):78-96; discussion 78-96.
- 33. Hamberger A, Viano DC, Saljo A, Bolouri H. Concussion in professional football: morphology of brain injuries in the NFL concussion model--part 16. Neurosurgery. 2009;64(6):1174-82; discussion 82.
- 34. Viano DC, Hamberger A, Bolouri H, Saljo A. Concussion in professional football: animal model of brain injury--part 15. Neurosurgery. 2009;64(6):1162-73; discussion 73.
- 35. Casson IR, Viano DC, Pellman EJ. Synopsis of the National Football League Player Health and Safety Meeting: Chicago, Illinois, June 19, 2007. Neurosurgery. 2008;62(1):204-9; discussion 9-10.

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Bennet I. Omalu, MD, MBA, MPH, CPE, DABP-AP, CP, FP, NP

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- 37. Casson IR, Pellman EJ, Viano DC. Concussion in the National Football League: an overview for neurologists. Phys Med Rehabil Clin N Am. 2009;20(1):195-214, x.
- 38. Casson IR, Pellman EJ, Viano DC. National football league experiences with return to play after concussion. Arch Neurol. 2009;66(3):419-20.

# **EXHIBIT B**

#### **CURRICULUM VITAE AND BIBLIOGRAPHY**

**PART 1: CURRICULUM VITAE** 

# BENNET I. OMALU, MD, MBA, MPH, CPE, DABP-AP, CP, FP, NP

Anatomic Pathologist/ Clinical Pathologist/ Forensic Pathologist/ Neuropathologist/ Epidemiologist
Clinical Professor of Medical Pathology and Laboratory Medicine, University of California, Davis [UC-Davis]
Chief Medical Examiner, San Joaquin County, California
Co-Founder, TauMark, Inc, Better Brain Diagnostics
Founder, Bennet Omalu Pathology [BOP], Inc.

## **Degrees and Certifications:**

- Doctor of Science, Honoris Causa Westminster College Fulton, Missouri, September 15, 2016
- Doctor of Science, Honoris Causa Xavier University of Louisiana New Orleans, Louisiana, May 7, 2016
- 3. Board Certification in Anatomic Pathology (AP)
  Diplomate, American Board of Pathology
  American Board of Pathology, July 2002.
- 4. Board Certification in Clinical Pathology (CP)
  Diplomate, American Board of Pathology
  American Board of Pathology, July 2003.
- Board Certification in Forensic Pathology (FP)
   Diplomate, American Board of Pathology
   American Board of Pathology, November 2004.
- Board Certification in Neuropathology (NP)
   Diplomate, American Board of Pathology
   American Board of Pathology, September 2005.
- 7. Board Certification in Medical Management
  Certified Physician Executive [CPE]
  Certifying Commission in Medical Management
  American College of Physician Executives, February 2011
- 8. Masters in Public Health (MPH), Epidemiology University of Pittsburgh, Graduate School of Public Health Pittsburgh, Pennsylvania, April 2004.
- 9. Masters in Business Administration [MBA]
  Tepper School of Business, Carnegie-Mellon University
  Pittsburgh, Pennsylvania, May 2008.
- 10. One year clinical Internship Certificate, general/family practice University of Nigeria Teaching Hospital, Enugu, Nigeria, January 1992.
- 11. Bachelor of Medicine and Bachelor of Surgery (M. B., B. S.) University of Nigeria, Enugu, Nigeria, June 1990.
- 12. United States Medical Licensing Examinations (Steps I and II), September 1993.

- 13. United States Medical Licensing Examination Step III, May 1998.
- 14. Grade 1 certificate in the Theory of Music, The Royal Schools of Music, London, England, 1981.

# **Professional and Post-Graduate Education and Training**

1. College of Medicine,

University of Nigeria, Enugu, Nigeria. July 1984 - July 1990

2. House Physician,

Department of Pediatrics,

Enugu General Hospital, Enugu, Nigeria. August 1990 - Dec. 1990

3. Internship in General/Family Practice,

University of Nigeria, Hospital, Enugu, Nigeria. January 1991 - January 1992

4. Emergency Room Physician,

Jos University Hospital, Jos, Nigeria. March 1992 - October 1994

5. Visiting/Research Scholar,

Cancer Epidemiology, Dept. of Epidemiology,

School of Public Health, University of Washington, Seattle. October 1994 - June 1995

6. Pathology Residency, Anatomic/ Clinical

College of Physicians and Surgeons of Columbia University,

Harlem Hospital Center, New York City. July 1995 - June 1999

7. Fellowship training in Forensic Pathology,

Allegheny County Coroner's Office

University of Pittsburgh, Pittsburgh, Pennsylvania. July 1999 - June 2000

8. Fellowship training in Neuropathology,

University of Pittsburgh Medical Center

University of Pittsburgh, Pittsburgh, Pennsylvania. July 2000 - June 2002

9. Masters in Public Health: Epidemiology

Graduate School of Public Health

University of Pittsburgh, Pittsburgh, Pennsylvania June 2002 – April 2004

10. Masters in Business Administration

**Tepper School of Business** 

Carnegie Mellon University, Pittsburgh, Pennsylvania August 2005 – May 2008

#### **Medical Licensure**

1. License of practice as a physician, unrestricted, State of Indiana September 1998

2. License of practice as a physician, unrestricted, State of Pennsylvania October 1998

3. License of practice as a physician, unrestricted, State of Hawaii January 2003

4. License of practice as a physician, unrestricted, State of California June 2007

## **Professional Medical Affiliations and Memberships**

- 1. Member, American Association of Physician Leadership, 2006 present
- 2. Member, American Association for the Advancement of Science [AAAS], 2005 present
- 3. Fellow, College of American Pathologists (CAP), 1995 present
- 4. Fellow, American Society of Clinical Pathologists (ASCP), 1995 present
- 5. Member, American Association of Neuropathologists (AANP), 2002 present
- 6. Member, National Association of Medical Examiners (NAME), 1999 present
- 7. Member, American Academy of Forensic Sciences, 2004 present
- 8. Member, United States and Canadian Academy of Pathology, 2003 present
- 9. Member, International Academy of Pathology, 2003 present
- 10. Fellow, American College of Epidemiology, 2004 present
- 11. Member, American Association of Public Health Physicians, 2004 present
- 12. Member, American Public Health Association, 2004 present
- 13. Member, American Society for Investigative Pathology, 2004 present
- 14. Member, American Medical Association (AMA), 2002 present
- 15. Member, San Joaquin Medical Society, 2009 present
- 16. Member, California Medical Society, 2009 present
- 17. Member, California Society of Pathologists, 2015 present
- 18. Member, Union of American Physicians and Dentists, 2007 present
- 19. Member, Pennsylvania Medical Society, 2003 2007
- 20. Member, Allegheny County Medical Society, 2003 2007
- 21. Member, New York County Medical Society, 1997 1999
- 22. Member, Nigerian Medical and Dental Council, 1990

## **Appointments**

- 1. Clinical Professor, Department of Medical Pathology and Laboratory Medicine, University of California, Davis, January 2017 Present.
- 2. Co-Director/Co-Founder, Taumark, Inc, Better Brain Diagnostics, May 2013 present.
- 3. Chief Medical Examiner, Washington, D.C. Appointed on October 11, 2013. Declined Offer.
- 4. Co-Director, Brain Injury Research Institute, West Virginia University/NorthShore University

  Health System, November 2009 present.
- 5. Visiting Professor, Department of Neurosurgery, West Virginia University, Dec. 2009 Dec. 2011
- 6. Member, NFL Players Association Concussion and Traumatic Brain Injury Committee,

  December 2009 2012.
- 7. Visiting Professor, Rockefeller Institute of Neuroscience, Morgantown, Virginia, August 2009 April 2010.
- 8. Chief Medical Examiner, San Joaquin County, California, September 2007 present.
- Associate Clinical Professor, Department of Medical Pathology and Laboratory Medicine,
   University of California, Davis, September 2013 January 2017.
- Clinical Professor of Pathology, Department of Pathology, University of California, Davis,
   March 2012 July 2013.
- 11. Associate Physician Diplomate, Medical Pathology and Laboratory Medicine, University of California-Davis Medical Center, March 2012 July 2013.
- 12. Associate Clinical Professor of Pathology, Department of Pathology, University of California, Davis, May 2008 January 2012.
- 13. Adjunct Assistant Professor of Pathology, University of Pittsburgh, Pittsburgh, Pennsylvania, June 2010 2012.
- Assistant Clinical Professor of Epidemiology, Department of Epidemiology, Graduate
   School of Public Health, University of Pittsburgh, March 2004 2008
- Clinical Associate Professor of Pathology and Clinical Instructor, Department of Pathology,
   University of Pittsburgh, Pittsburgh, Pennsylvania, May 2003 2009
- 16. Attending Forensic Pathologist, Allegheny County Coroner's Office, July 2002 March 2007
- 17. Attending Forensic Neuropathologist, Allegheny County Coroner's Office, July 2002 March 2007
- 18. Associate Forensic Pathologist, Allegheny County Coroner's Office, July 2000 June 2002

# **Hospital Admissions and Practice Privileges**

1. University of California, Davis, Health System/ Medical Center

March 2012 to July 2013

Department of Medical Pathology and Laboratory Medicine

2315 Stockton Blvd

Sacramento, CA 95817

2. Contra Costa Regional Medical Center

January 2013 to Present

Department of Pathology

2500 Alhambra Avenue

Martinez, CA 94553

3. San Joaquin General Hospital

September 2007 to Present

Department of Pathology

500 West Hospital Place

French Camp, CA 95231

# Journal Editorial and Peer Review Experience

- Associate Editor, Neurosurgery Journal, Sports and Rehabilitation Section, September
   2009 2014
- Reviewer, Militarily Relevant Peer Reviewed Alzheimer's Disease Research Program (MRPRA), 2012: American Institute of Biological Sciences [AIBS], Scientific Peer Advisory and Review Services Panel.
- 3. Reviewer, Nigerian Journal of Clinical Practice, February, 2011 to 2014
- 4. Reviewer, Nigerian Journal of Surgery, October 2011
- 5. Reviewer, The Journal of Neuropsychiatry and Clinical Neurosciences, April 2012
- 6. Reviewer, The Journal of Forensic Nursing, June 2009, October 2012, February 2013
- 7. Reviewer, Forensic Science, Medicine and Pathology, November 2012

# **Consulting and Peer Review Assignments**

- Consulting Forensic Pathologist/ Neuropathologist, Cyril H. Wecht and Pathology Associates Inc. June 2000 – January 2006.
- Consulting Forensic Neuropathologist, Office of the Chief Medical Examiner,
   Commonwealth of Virginia: August 2006 December 2012
- Consulting Forensic Neuropathologist, Office of the Coroner/Medical Examiner, Clark
   County, Las Vegas, Nevada, September 2007 December 2012
- 4. Consulting Forensic Neuropathologist, Office of the Coroner/Medical Examiner, Washoe County, Reno, Nevada, 2009 present
- 5. Consulting Forensic Pathologist, Solano County Coroner's Office, California, 2011 2012
- 6. Consulting Neuropathologist, Conemaugh Memorial Hospital, Johnstown, Pennsylvania:

  August 2003 August 2007
- 7. Consulting Expert Witness/Forensic Pathologist/Neuropathologist, Public Defender's Office, County of Sacramento, California, April 2010 present.
- 8. Consulting Forensic Neuropathologist, Medical Examiner's Office, Allegheny County, Pittsburgh, Pennsylvania, January, 2011.
- 9. Consulting Forensic Neuropathologist, Medical Examiner's Office, County of Summit, Akron, Ohio. October, 2011.
- 10. Consulting Forensic Neuropathologist, American Forensics, Dallas, Texas. October, 2011.

#### **Congressional Hearing, Testimony and Briefing-1**

#### The Congress of the United States

- 1. One Hundred and Eleventh Congress, Congress of the United States, House of Representatives, Committee on the Judiciary, Field Hearing: Legal Issues Relating to Football Head Injuries, Part II, Monday, January 4<sup>th</sup>, 2010.
- 2. One Hundred and Eleventh Congress, Congress of the United States, House of Representatives, Committee on the Judiciary, Judiciary Forum: Head and Other Injuries in Youth, High School, College and Professional Football, Monday, February 1, 2010.
- 3. One Hundred and Fourteenth Congress, Congress of the United States, House of Representatives: Dr. Omalu's Briefing on his Work and Legacy. Energy and Commerce Committee Room, 2123 Rayburn HOB. Tuesday, January 12, 2016.

#### **Congressional Hearing, Testimony and Briefing-2**

#### **California State Assembly**

1. Testimony in support of AB 2007- Concussion Management for Youth Sports Leagues, Committee on Arts, Entertainment, Sports, Tourism and Internet Media, Tuesday, May 3, 2016.

# **New York State Assembly**

 Testimony in support of A4448- An act to amend the public health law, in relation to prohibiting children thirteen years old and younger from playing tackle football.
 Roundtable discussion before Concussion movie screening to New York State Assembly and New York State Senate Members. Wednesday, May 4, 2016.

# Selected Honors, Awards, Recognition and Achievements

- 1. Certificate of Recognition, Board of Supervisors, San Joaquin County, for contributions and distinguished service to San Joaquin County, January 10, 2017, Stockton, California.
- 2. Outstanding Achievement in Medicine Award, The San Joaquin Medical Society, Stockton, California, December 15, 2016.
- 3. Rescuer of Humanity Award, 2016, Values-in-Action Foundation, Cleveland, Ohio, December 6, 2016.
- 4. Service To Science Award, 2016 D. Walter Cohen, DDS Award, NDRI [National Disease Research Interchange], November 18, 2016, Philadelphia, Pennsylvania.
- 5. Distinguished Service Award [The highest award of the American Medical Association-AMA], November 12, 2016, AMA Interim Meeting, 2016, Orlando, Florida.
- 6. Beacon of Ethics Award, The Greater Omaha Alliance For Business At Creighton University, Better Business Bureau Annual Integrity Awards Luncheon, November 2, 2016.
- 7. Healing Hand Award, The El Paso Hispanic Chamber of Commerce, 26<sup>th</sup> Anniversary Fiesta Celebration and Pinata Bash, October 15, 2016.
- 8. Honorary Lifetime Membership, The National Honor Society in Neuroscience [Nu Rho Psi], University of Evansville, Indiana, October 13, 2016.
- 9. Invited Guest and Speaker, Camp Fire 2016, Santa Barbara, California, September 29 October 2, 2016.
- 10. Special Tribute to Bennet Omalu, State of Michigan, The Ninety-Eighth Legislature, At Lansing, September 20, 2016.
- 11. The Louise Blouin Foundation Award for a Positive Impact on a Global Scale, The Eleventh Annual Awards, The Blouin Creative Leadership Summit Awards Reception, September 19, 2016, New York City.
- 12. Above and Beyond Award, 2016. The Network of Ethnic Physician Organization and The California Medical Association Foundation, September 17, 2016.
- 13. The 2016 Green Lecture Speaker, Westminster College, Fulton, Missouri, September 15, 2016.
- 14. 2016 Distinguished Great Immigrant, Carnegie Corporation of New York, June 30, 2016.
- 15. 2016 Dr. Ernst Jokl Sports Medicine Award, Unites States Sports Academy, June 29, 2016.

- 16. Key Note Speaker, 2016 Commencement, University of California, Davis, School of Medicine, May 28, 2016.
- 17. Honorary Fellow, American Association of Physician Leadership, April 15, 2016, Washington, DC.
- 18. Invited Speaker, W.L. Mellon Speaker Series, April 14, 2016, Tepper School of Business, Carnegie Mellon University, Pittsburgh, Pennsylvania.
- 19. Pioneer in Medicine Award, 2016, The Society for Brain Mapping and Therapeutics (SBMT), April 9<sup>th</sup>, 2016, 13th Annual World Congress for Brain Mapping and Therapeutics, Miami, Florida.
- 20. 2016 Red Cross Heroes-Spirit of the Red Cross Award. The American Red Cross, Gold Country Region. March 16, 2016.
- 21. Contribution to Science and Education Award, 37<sup>th</sup> Annual Young Artist Awards, The Young Artist Foundation, Los Angeles, California, March 13, 2016.
- 22. Congressional Honors, United States Congress, House of Representatives, for the discovery of Chronic Traumatic Encephalopathy and Raising Awareness on Repetitive Brain Trauma, Wednesday, February 3, 2016, Congressman Jerry McNerny, D-California, 9<sup>th</sup> District.
- 23. Invited to the Address to the Congress on the State of the Union, President Barack Obama, January 12, 2015, 114<sup>th</sup> Congress, United States House of Representatives, Guest of Honorable Jackie Speier, U.S. Representative for California's 14<sup>th</sup> Congressional District.
- 24. Invited Guest, 73<sup>rd</sup> Golden Globe Awards, The Hollywood Foreign Press Association, January 10, 2016, Beverly Hills, California.
- 25. Presented the Key to the City of Lodi by Mark Chandler, Mayor, City of Lodi, California, January 9, 2016.
- 26. Invited Guest, 7<sup>th</sup> Annual Governors Award, Academy of Motion Pictures Arts and Sciences, November 14, 2015, Hollywood, California.
- 27. Medscape Best Physicians of the Year: 2015.
- 28. WebMD Health Heroes Award- Scientist, 2015. November 5, 2015.
- 29. Founding member and director, Bennet Omalu Foundation, Inc., September 2014.
- 30. Member, Greater Talent Network Speakers' Bureau, New York, New York, 2015
- 31. Recognized as one of the "120 Great Nigerians You Never Knew". First Bank of Nigeria [since 1894], Ed Emeka Keazor, MME Media, Johannesburg, South Africa, 2014.

- 32. Certificate of Special Congressional Recognition, San Joaquin County Bar Association, Law Day Luncheon. April 30, 2015. Jerry Mcnerney, Member of the United States Congress.
- Named among 20 Top Forensic Pathology Professors Online. Forensics Colleges.
   November 2013. [http://www.forensicscolleges.com/blog/profs/20-top-forensic-pathology-professors; http://www.ucdmc.ucdavis.edu/publish/news/newsroom/8426]
- 34. 2013 Alumni Achievement Award, University of Nigeria Alumni and Friends Association, U.S.A. Saturday, October 19, 2013, Los Angeles, California.
- 35. Founding Member, CTEM, Inc. Wheeling, West Virginia, 2013.
- 36. Nominated for the Potamkin Prize for Research in Pick's, Alzheimer's, and Related Diseases, American Academy of Neurology, 2012.
- 37. Years of Excellence In Pioneering Neuropathology Concussion Research For Athlete Populations. June 22, 2012, Sports Concussion Institute, Sixth Annual National Summit On Sports Concussion And Other Athletic Injuries.
- 38. America's Top Physicians, 2007, 2008, 2009, 2010, 2011. Consumer's Research Council of America, Washington, DC.
- 39. Member, Board of Directors, Brain Injury Research Institute, West Virginia University, Morgantown, West Virginia, August 2010 to present.
- 40. Discovered and named Chronic Traumatic Encephalopathy [CTE] in American football players and American professional wrestlers, 2002 and 2007.
- 41. Co-Founder, Brain Injury Research Institute, West Virginia University, Morgantown, West Virginia, November 2009.
- 42. First Chief Medical Examiner of San Joaquin County, California.
- 43. Honored Visiting Professor, Department of Neurosurgery, West Virginia University, October 1, 2008.
- 44. Founder and President, Bennet Omalu Pathology, Inc. October, 2011.
- 45. Identified the West Nile Virus within the neurons of an infected deceased man using fluorescent-labeled con-focal immuno-microscopy, 2004.
- 46. The 2002 'Manifesting the Kingdom' Award, Most Reverend Donald W. Wuerl, Bishop, Catholic Diocese of Pittsburgh January 5, 2003.
- 47. National merit list, Masters in Public Health, Graduate School of Public Health, University of Pittsburgh, April 2004.

- 48. Honorary Fellowship Award, American Registry of Pathology at the Department of Environmental and Toxicologic Pathology, Armed Forces Institute of Pathology (AFIP). October, 1998.
- 49. College of American Pathologists (CAP) Foundation Informatics Award: Automated Information Management in the Clinical Laboratory. (Ann Arbor, Michigan) May 1998.
- 50. World Health Organization Research scholar Award, Cancer Epidemiology, School of Public Health, University of Washington, Seattle, Washington. October 1994 June 1995.
- 51. Chief Resident, Department of Pathology, Harlem Hospital Center July 1998 June 1999.
- 52. Harlem Hospital House Staff President, March 1998 April 1999.
- 53. Laboratory Inspector, College of American Pathologist (CAP), Stanford University, November 2002.
- 54. New York state delegate, College of American Pathologists Residents Forum, July 1997 June 1999.
- 55. Resident Member, Harlem Hospital Medical Board, April 1998 March 1999.
- 56. Resident Member, Harlem Hospital Community Board, April 1998 March 1999.
- 57. National Delegate, Committee of Interns and Residents (CIR), March 1996 April 1999.
- 58. Harlem Hospital Residency Program Liaison, American Society of Clinical Pathologists, July 1997 June 1999.
- 59. Honorable Mention, House Staff Research Fair, College of Physicians and Surgeons of Columbia University at Harlem Hospital Center, New York, for: An Immunohistochemical Profile of Tumor Associated Antigens in Malignant Melanoma and Benign Melanocytic Nevi: CD44, p53 protein, Cathepsin B, Melan-A Gene Product. April 1998.
- 60. Honorable Mention, House Staff Research Fair, College of Physicians and Surgeons of Columbia University at Harlem Hospital Center, New York, for: Forensic implications of the Analytical Evaluation of Human Tissues after Exposure to Cesium Chloride: Atomic Absorption Spectrometry. May 1999.
- 61. College Scholarship award: National Merit List, Federal Government of Nigeria. September 1979 July 1984.
- 62. Producer, "Christ is King!! A Liturgical Selection": an audio compact disc album for Saint Benedict the Moor Roman Catholic Church, Pittsburgh, Pennsylvania. November 2003.

- 63. President and Founder/ Principal partner, BOGE LLP, a health management and consulting company, Pittsburgh, Pennsylvania, March 2004 2007.
- 64. President and Founder/ Principal partner, Clairton Community Cultural Center, a community re-development company, Pittsburgh, Pennsylvania, 2005 2007.
- 65. President and Founder/ Principal partner, Clairton Community Health Center, a 24-hour community primary care health center, Pittsburgh, Pennsylvania, 2005 2007.
- 66. Trustee, Prostate Health and Research Foundation, a non-profit prostate health education organization, Lagos, Nigeria, March 2004 2007.

## **Selected Invited Lectureships and Talks**

- 1. "Chronic Traumatic Encephalopathy, My Life and My Work". Court of Appeal, 3<sup>rd</sup> Appellate District, 914 Capitol Mall, Sacramento, CA 95814, January 5, 2017.
- 2. "An Evening with Dr. Bennet Omalu". Banner Bon Sante Ball, Banner Health Foundation, Scottsdale, Arizona, December 10, 2016.
- 3. "An Evening with Bennet Omalu". Pacific Northwest Chapter, World President's Organization and Young President's Organization. Concussions in Sports meeting, Swedish Neuroscience Institute, Seattle Washington, November 9, 2016.
- 4. "Don't Break the Rules, Change the Game", Missouri Hospital Association, 94<sup>th</sup> Annual Convention and Tradeshow, Osage Beach, Missouri, November 3, 2016.
- 5. "An Afternoon with Bennet Omalu". Better Business Bureau, Inc. Annual Integrity Awards Luncheon, La Vista, Nebraska, November 2, 2016.
- 6. "The Science and Humanity of Chronic Traumatic Encephalopathy". Navy SEAL Foundation, Inc. Navy SEAL Foundation Mental Health and Veteran Care Convening, San Diego, California, November 1, 2016.
- 7. "An Afternoon with Bennet Omalu". California Primary Care Association, 2016 Annual Conference, Long Beach, California, October 27, 2016.
- 8. "An Evening with Bennet Omalu". University Distinguished Speaker Event, Healthy Nevada Speaker Series, University of Nevada, Reno, Nevada, October 25, 2016.
- 9. "A Morning with Bennet Omalu". American Academy of Physical Medicine and Rehabilitation, 2016 Annual Assembly, New Orleans, Louisiana, October 21, 2016.
- 10. "An Afternoon with Bennet Omalu". American Health Information Management. 2016 AHIMA Annual Convention, Baltimore, Maryland, October 19, 2016.
- 11. "An Evening with Dr. Bennet Omalu". Key Note Speaker, El Paso Hispanic Chamber of Commerce, Dreams and Visions to Reality Equal, Annual Fiesta Celebration, El Paso, Texas, October 15, 2016.
- 12. "An Evening with Bennet Omalu", Patricia H. Snyder Concert and Lecture Series, University of Evansville, Evansville, Indiana, Annual Endowed Lecture and Concert Series on Innovation, research, and healthcare, October 13, 2016.

- 13. "A morning with Bennet Omalu". Annual Meeting, Indiana Hospital Association, Indianapolis, Indiana, October, 6, 2016.
- 14. "An Evening with Bennet Omalu". University Lecture Series, Miami University of Ohio, Oxford, Ohio, October 3, 2016.
- 15. "An Evening with Bennet Omalu". College Endowed Lecture Series, the Anna B. Mow Symposium on Comparative Religious Ethics, Bridgewater College, Bridgewater, Virginia, September 28, 2016.
- 16. "Don't break the rules, change the game: How Bennet Omalu single-handedly changed American Football, Professional sports, and how the world perceives traumatic brain injuries." Spotlight Program Board's Distinguished Speaker Series, Georgia State University, Atlanta, Georgia, September 27, 2016.
- 17. "Don't Break the Rules, Change the Game". Key note speaker, The Brain Injury Association of Michigan, 36<sup>th</sup> Annual Fall Conference, Lansing, Michigan, September 22, 2016.
- 18. "An Evening with Bennet Omalu", "Lost and Found" Thrival Innovation Day 1, Thrival Festival, Thrill Mill, Inc, Pittsburgh, Pennsylvania, September 20, 2016.
- 19. "The Humanity of Science and Conformational Intelligence: The Bennet Omalu Story", Westminster College Hancock Symposium, The Green Lecture, Westminster College, Fulton, Missouri, September 15, 2016.
- 20. "An Evening with Bennet Omalu". University of Missouri, Columbia, Annual Delta Gamma Lecture Series on Values & Ethics, Jesse Auditorium, September 14, 2016.
- 21. "An Afternoon with Bennet Omalu". KPMG's International Partners Meeting, Prague, Czech Republic, September 12, 2016.
- 22. "An Evening with Bennet Omalu: Don't Break the Rules, Change the Game: How Bennet Omalu single-handedly changed American football, Professional sports, and how the world perceives traumatic brain injuries. University of Tennessee, Alumni Memorial Building, Cox Auditorium, Knoxville, Tennessee, August 31, 2016.
- 23. "An Afternoon with Bennet Omalu" Leadership Tyler. Leadership Live 2016, Tyler, Texas. August 30, 2016.
- 24. "A Forensic Pathologist, Chronic Traumatic Encephalopathy [CTE] and Conformational Intelligence [CI]". Keynote Speaker, American College of Legal Medicine, 22<sup>nd</sup> Annual World Congress of Medical Law, Los Angeles, California, August 10, 2016.
- 25. "Chronic Traumatic Encephalopathy: A Journey from Pathology Discovery to Advocacy and Beyond." Association of Pathology Chairs, 2016 Annual Meeting, San Diego, California, July 15, 2016.

- 26. "Don't Break the Rules, Change the Game". Keynote Address, Society of Corporate Secretaries and Governance Professionals, Annual National Conference, Colorado Springs, Colorado, June 25, 2016.
- 27. "Working as a Team to Seek the Truth: Bennet Omalu's Journey on Discovering CTE and Making the Movie Concussion". Key note speaker, Association of Nigerian Physicians in the Americas [ANPA], 2016 Convention and Scientific Assembly, Las Vegas, Nevada, June 23, 2016.
- 28. "Interview with Dr. Omalu, moderated by Buzz Bissinger". Aspen Ideas Festival Spotlight Health, The Aspen Institute, Aspen, Colorado, June 24, 2016.
- 29. "Don't Break the Rules, Change the Game: How Bennet Omalu single-handedly changed American football, Professional sports, and how the world perceives traumatic brain injuries." Vizient New England CEO Executive Board Meeting, York, Maine. June 22, 2016.
- 30. "Don't Break the Rules, Change the Game: How Bennet Omalu single-handedly changed American football, Professional sports, and how the world perceives traumatic brain injuries" Vizient Upper Midwest Executive Board Meeting, Chicago, Illinois, June 14, 2015.
- 31. "Interview with Will Smith and Bennet Omalu, moderated by Jim Greenwood, CEO, BIO [Biotechnology Innovation Organization]", 2015 BIO International Convention, San Francisco, California, June 7, 2015.
- 32. "Don't Break the Rules, Change the Game: How Bennet Omalu single-handedly changed American football, Professional Sports, and how the world perceives traumatic brain injuries". Vizient New England CEO Executive Board Meeting, Williamsburgh, Virginia, June 2, 2016.
- 33. 2016 Project Play Summit, The Aspen Institute, Newseum, Washington, DC, May 17, 2016.
- 34. A Moring with Dr. Bennet Omalu. Western Health Care Leadership Academy, California Medical Association, San Francisco, California, May 15, 2016.
- 35. An Evening with Dr. Bennet Omalu. Drexel Neurosciences Institute Inaugural Neurosciences Conference. Drexel Neuroscience Institute, Drexel University College of Medicine, Atlantic City, New Jersey, May 11, 2016.
- 36. A Morning with Bennet Omalu. US Trust President's Award Program, Bank of America/ U.S. Trust, Colorado Springs, Colorado, May 10, 2016.
- 37. An Afternoon with Bennet Omalu. Annual SAS Health Care and Life Sciences Executive Conference, SAS Institute, Inc., Cary, North Carolina, May 4, 2016.
- 38. An Afternoon with Bennet Omalu. 2016 Diversity & Inclusion Symposium, Carolinas Healthcare System, Charlotte, North Carolina, April 28, 2016.

- 39. An Evening with Bennet Omalu. Healthcare Business Summit, MedAssets, Las Vegas, Nevada, April 26, 2016.
- 40. An Evening with Bennet Omalu. The Institute For Rehabilitation and Research [TIRR], Houston, Texas, April 21, 2016.
- 41. The 2016 Significant Speaker Event, Gallogly Events Center, University of Colorado, Colorado Springs. An Evening with Dr. Bennet Omalu: Don't Break the Rules, Change the Game: How Bennet Omalu single-handedly changed American football, professional sports, how the world perceived traumatic brain injuries, and making small steps to spark a major change. April 19, 2016.
- 42. Speaker, W.L. Mellon Series, Tepper School of Business, Carnegie Mellon University, April 14, 2016. The W.L. Mellon Speaker Series enables students to interact with global leaders, CEOs and management experts in student forums that encourage insightful and lively dialogue.
- 43. An Evening with Dr. Bennet Omalu, University of Pécs Medical School, Pécs, Hungary, April 1, 2016.
- 44. "A Morning with Dr. Bennet Omalu". The Head Injury Association: Head Injury Awareness Forum...Protecting School-aged Athletes from Concussion. Hauppauge, New York, March 30, 2016.
- 45. "Concussion: The Story Behind the Movie". 68<sup>th</sup> Annual Meeting, San Francisco Neurological Society, Sonoma, California, March 12, 2016.
- 46. "Don't Break the Rules, Change the Game". 11th Annual Brain Injury and Rehabilitation Conference, Liberty Station Conference Center, The Rehabilitation Center at Scripps Memorial Hospital Encinitas, San Diego, California. March 11, 2016.
- 47. "An Evening with Bennet Omalu". Oklahoma State University, Speakers' Board, Wes Watkins Center, Stillwater, Oklahoma, March 9, 2016.
- 48. "An Afternoon with Bennet Omalu". Vivo Capital Annual Limited Partner Meeting. Palo Alto, California, March 2, 2016.
- 49. "An Afternoon with Bennet Omalu- Discussing the Discovery of CTE". Wounded Warrior Battalion (West), Camp Pendleton, California, March 1, 2016.
- 50. "An Afternoon with Bennet Omalu". California Schools Employee Association and California Schools VEBA- Benefit University. Anaheim, California, February 29, 2016.
- 51. "An Evening with Bennet Omalu". Inspiring Minds Speaker Series, Beth El Synagogue, St. Louis Park, Minnesota, February 25, 2016.
- 52. "An Afternoon with Bennet Omalu". California Schools VEBA/ United HealthCare, Sacramento, California, February 23, 2016.

- 53. "Concussion: An Evening with Bennet Omalu". Ohio State University, Columbus, Ohio, February 18, 2016.
- 54. "An Evening with Bennet Omalu". Lifelong Learning Society, Florida Atlantic University, Jupiter, Florida, February 16, 2016.
- 55. "A Morning with Bennet Omalu". 2016 MedAssets Healthcare Executive Forum. The Westin Kierland Resort & Spa, Scottsdale, Arizona, February 6, 2016.
- 56. "50 Minute Interview with Stone Phillips". City Arts & Lectures, Nourse Theatre, San Francisco, California, February 4, 2016.
- 57. "An Evening with Bennet Omalu". Frontier Forum Lecture Series, Straz Center for the Performing Arts, University of South Florida, Tampa, Florida, December 3, 2015.
- 58. Keynote speaker: "Courageous Truth-Seeker: One Pathologist's Quest for a Diagnostic Breakthrough". The College of American Pathologists Annual Conference [CAP] 2015, Nashville, Tennessee, October 4, 2015.
- 59. Panelist, Concussion Litigation: Past, Present and Future. California Judges Association, 2015 Mid-Year Conference. May 1-3, 2015, Indian Wells, California.
- 60. Keynote speaker, Law Day Luncheon 2015. The San Joaquin County Bar Association and Foundation. Thursday April 30, 2015.
- 61. Chronic Traumatic Encephalopathy [CTE] and Emerging In-Vivo Diagnosis. Santa Clara Valley Brain Injury Conference. Conversations on Coma to Community. February 5-7, 2015, Santa Clara Marriott, Santa Clara, California.
- 62. The Historical Foundation of Chronic Traumatic Encephalopathy [CTE]: The Role of the Medical Examiner. West Coast Training Conference "Games People Play". Wednesday, April 23, 2014. County of Los Angeles Department of Medical Examiner-Coroner. Beverly Garland, North Hollywood, Los Angeles, California.
- 63. Emerging In-Vivo Diagnosis of Chronic Traumatic Encephalopathy. Grand Rounds Lecture, Monday, December 2, 2013. Department of Medical Pathology and Laboratory Medicine, University of California, Davis.
- 64. Chronic Traumatic Encephalopathy: Current Understanding of Clinicopathologic Features; A Forensic Perspective. American Academy of Neurology, 65<sup>th</sup> Annual Meeting. San Diego, March 16-23, 2013. San Diego Convention Center. March 21, 2013: Cumulative Sports Concussion and Risk of Dementia Course.
- 65. CTE: A Historical Perspective- Pathological Overview and Taupathy. Mild Head Injury, Concussion, and Return to Activities: Update 2013. Symposium, Friday, January 25, 2013.

- The University of Chicago, Section of Neurosurgery, Department of Surgery. The University of Chicago Gleacher Center, 450 North Cityfront Plaza Drive. Chicago, Illinois.
- 66. History, Definition and Clinicopathologic Features of Chronic Traumatic Encephalopathy [CTE]. Sports Concussion Institute, The National Summit On Sports Concussion And Other Athletic Injuries. June 22, 2012. Los Angeles, California.
- 67. "Pathophysiology of Traumatic Brain Injury". Manteca Unified School District, School Nurses. Manteca, California. March 19, 2012.
- 68. "Sociology of Health & Illness". Department of Sociology, University of Pacific, Stockton Campus, Stockton, California. March 15, 2012.
- 69. "Chronic Traumatic Encephalopathy and PTSD". Grand Rounds, Department of Neurosurgery, University of California, Davis, Sacramento, California, March 13, 2012.
- 70. "Motivational Lecture and Forensics: Becoming What You Want to Become" San Joaquin County Youth Leadership Academy, District Attorney's Office, June 27, 2012.
- 71. "Youth Leadership for Change", 2012 Law Day Educational Program, San Joaquin County Bar Association. April 26, 2012.
- 72. "Chronic Traumatic Encephalopathy and PTSD" Full-Day Dementia Program, San Francisco Neurological Society. Sir Francis Drake Hotel, San Francisco, California, Friday, October 14, 2011.
- 73. "Taupathy and Chronic Traumatic Encephalopathy" Grand Rounds, Department of Pathology, University of California-Davis, Sacramento, California. April 18, 2011.
- 74. "Long Term Effects of Repeated Impacts to the Head in American Athletes". Brain Rehabilitation and Injury Network. Thinktank 2011, Long Beach, California. March 27, 2011.
- 75. "Chronic Traumatic Encephalopathy in American Athletes". Visiting Professor, Grand Rounds, University of California, San Francisco, Department of Neurology, Memory and Aging Center, March 11, 2011.
- 76. Motivational Lecture to One Hope School, County Operated Schools and Programs, San Joaquin County Office of Education. October 24 and 25, 2011
- 77. "Motivational Lecture and Forensics: the value and importance of education" San Joaquin County Youth Leadership Academy, District Attorney's Office, June 29, 2011.
- 78. Employee Personal Motivation Lecture. Employee Mentoring Program, Equal Employment Opportunity Office, San Joaquin County, Administration Building, 44 North San Joaquin Street, June 16, 2011.

- 79. Keynote Speaker, Thirteenth Annual San Joaquin County Diversity Luncheon. San Joaquin County, California. September 30, 2010.
- 80. "Becoming a Forensic Pathologist: My Experience and Perspective". Guest Lecturer, First and Second Year Medical Students, School of Medicine, University of California, Davis, October 22, 2010.
- 81. "Chronic Traumatic Encephalopathy in Sports". 2010 Advanced Team Physician Course, American College of Sports Medicine, American Medical Society for Sports Medicine and American Orthopaedic Society for Sports Medicine. Washington, DC, December 10, 2010.
- 82. "Emerging Technology in the Evaluation and Treatment of Concussion". 2010 Advanced Team Physician Course, American College of Sports Medicine, American Medical Society for Sports Medicine and American Orthopaedic Society for Sports Medicine. Washington, DC, December 10, 2010.
- 83. "Epidural Hemorrhages" Visiting Professor Lecture, Neurosurgery Residents, Department of Neurosurgery, West Virginia University, August 17, 2010
- 84. "Pharm Parties". 10<sup>th</sup> Annual San Joaquin County Child Abuse Prevention Symposium, Stockton, California, April 14, 2010.
- 85. "The Forensic Pathology of Chronic Traumatic Encephalopathy". 2010 Independent Retired Players Summit and Conference, Las Vegas, Nevada, April 17, 2010.
- 86. "Chronic Traumatic Encephalopathy". The Amen Clinic, Newport Beach, California, March 20, 2010.
- 87. "The forensic pathology of Chronic Traumatic Encephalopathy in American Athletes: the journey thus far." Advanced Education Seminar, Lakewood Orthopedics & Sports Medicine, Speakers Bureau Member, Dallas, Texas, January 23, 2010.
- 88. "Emerging Forensic Issues of Chronic Traumatic Encephalopathy in American Athletes". Presenter, 2009 National Educational Conference, American Association of Legal Nurse Consultants, Phoenix, Arizona, April 24, 2009.
- 89. "The stressors in the life of a physician and forensic pathologist". Sociology of Health & Illness Course, University of the Pacific, Stockton, California, March 26, 2009.
- 90. "New Technologies in Neuropathology and Neuropathology of Concussion in Athletes". Presenter, Emerging Technology and Techniques in Neurosurgery, Ceasar's Palace, Las Vegas, November 8, 2008, Departments of Neurosurgery, West Virginia University, Morgantown, WV, and Allegheny General Hospital, Pittsburgh, PA.
- 91. "The Forensic Pathology of Chronic Traumatic Encephalopathy in Professional American Athletes". Key Note Speaker, American Association of Legal Nurse Consultants, West

- Virginia, Upper Ohio Valley Chapter, 2008 meeting, October 17, 2008, Wheeling, West Virginia.
- 92. "The Pathophysiology of Traumatic Brain Injury". Visiting Professor/Guest Lecturer, October 1, 2008, Department of Neurosurgery, West Virginia University, Morgantown, West Virginia.
- 93. Key Note Speaker, "Helping People One Test at a Time", National Laboratory Week, Harlem Hospital Center, New York City, April 25, 2008
- 94. "The Link between the Field and Dementia" Rendezvous II: An International Sports Medicine Conference, March 25, 2008, Caesars Palace, Las Vegas. 17<sup>th</sup> Annual Meeting of The American Medical Society for Sports Medicine (AMSSM) and The Canadian Academy of Sports Medicine (CASM), March 25 29, 2008.
- 95. "The Neuropathology and Delayed Sequelae of Concussion in NFL Players". The National Concussion Summit: Concussion in Sports: The Under-Recognized Public Epidemic The Sports Concussion Institute. Centinela Freeman Regional Medical Center, Marina Campus, Marina Del Rey, California at The Marriott Hotel, 4100 Admiralty Way, Marin Del Rey, CA 90292, Friday, April 20<sup>th</sup>, 2007.
- 96. "Football Induced Chronic Traumatic Encephalopathy" November 2006, meeting of the Pittsburgh chapter of the National Association of Neurological Nurses, Waterfront, Homestead, Pittsburgh.
- 97. "The forensic perspectives of traumatic brain injury". 2005 Annual Nursing Conference: traumatic brain injury, from ER management to ICU, rehab, organ donation and Trisha Meili a.k.a. Central Park Jogger. Allegheny General Hospital Pittsburgh, Pennsylvania. November 18, 2005
- 98. 'Mortality following bariatric surgery'. Bariatric Surgery Research Mini-symposium, Minimally Invasive Surgery Center, Department of Surgery, University of Pittsburgh, Pennsylvania, January 17, 2004.
- 99. "My professional life as a forensic pathologist and neuropathologist". A career development presentation to selected high school students of the Pittsburgh Public School System. April 14, 2004.
- 100. "My professional life as a forensic pathologist and neuropathologist". A career development presentation to students of the Saint Benedict the Moor Catholic School, 2900 Bedford Avenue, Pittsburgh, Pennsylvania 15219. March 5, 2004.
- 101. 'The medico-legal autopsy'. University of Pittsburgh Chapter of the American Inns of Court, Pittsburgh, Pennsylvania. April, 2002.

- 102. 'My professional experience as a Forensic Pathologist'. Graduating Lecture, 2002 certificate program class, The Cyril H. Wecht Institute of Forensic Sciences, School of Law, Duquesne University, Pittsburgh, Pennsylvania. November, 2002.
- 103. "The mechanisms of trauma in motor vehicular accidents". Surgical grand-rounds, Department of Surgery, The Mercy Hospital System, Pittsburgh, Pennsylvania. May, 2000.

## Post-Graduate Medical Teaching Experience-1

- 1. Supervised medical students during the Emergency Medicine rotation, University of Jos, Jos, Nigeria, January, 1991-September, 1994.
- 2. Taught a patho-physiology course for the physician assistant program at the Harlem Hospital Center, New York, NY. July, 1996 June, 1999.
- 3. Presented weekly clinico-pathologic conferences for the departments of pathology, surgery, internal medicine, obstetrics and gynecology, Harlem Hospital Center, New York, NY. July, 1995 June, 1999.
- 4. Taught medical students and residents, forensic pathology and neuropathology, University of Pittsburgh, July, 1999 June, 2002.
- 5. Taught a workshop in the patho-physiology course, Masters in Public Health program, Graduate School of Public Health, University of Pittsburgh, September, 2002 2007.
- 6. Supervised residents during rotation in forensic pathology, University of Pittsburgh, July, 2002 2007.
- 7. Taught monthly forensic neuropathology workshops to residents and fellows in pathology, neurology and neurosurgery, University of Pittsburgh, 2004 2007.
- 8. Taught monthly neuropathology workshops to residents in pathology, Conemaugh Memorial Hospital, Johnstown, Pennsylvania, January 2005 2007.
- 9. Presented monthly trauma rounds to surgery residents, Mercy Hospital of Pittsburgh, January 2006 2007.
- 10. Presented quarterly one-day introductory autopsy conferences for the emergency medicine technology program of Allegheny County Community College, April, 2006.
- 11. Forensic pathology and neuropathology grand round lectures, internal medicine, family practice and surgery residents, San Joaquin General Hospital, French Camp, California, 2009 2012.
- 12. Forensic neuropathology brain cutting workshops for pathology residents and fellows, University of California at Davis, Department of Pathology, 2009 2013.
- 13. Forensic neuropathology brain cutting workshops for neurology residents and fellows, University of Nevada, Las Vegas at the Clark County Coroner's Office, 2011 2012.
- 14. Mentoring and teaching residents and medical Students and covering the autopsy service, and forensic neuropathology service, Department of Medical Pathology and Laboratory Medicine, University of California at Davis, March 2012 July 2013.

## **Post-Graduate Medical Teaching Experience-2**

- 15. Microscopy sessions and slides review, residents and medical students, Department of Medical Pathology and Laboratory Medicine, University of California at Davis, September 2013 to present.
- 16. Grand rounds lectures, didactic lectures in laboratory management, forensic pathology and neuropathology for medical students, residents and fellows, Department of Medical Pathology and Laboratory Medicine, UC-Davis Medical School, 2008 Present.
- 17. Grand Rounds, Department of Medical Pathology and Laboratory Medicine, UC-Davis, February 1, 2016: What a Pathologist can Learn from Hollywood: Dr. Omalu's Experience.

## **Research Grant**

- 1. \$24, 750.00 grant from The Pittsburgh Foundation for a research project on Loss of heterozygosity as predictors of grade and outcome in meningiomas in collaboration with John Lee, M.D. University of Pittsburgh.
- 2. \$200,000.00 grant from The Hazel Ruby Mcquain Charitable Trust, West Virginia to the Brain Injury Research Institute, for research into Chronic Traumatic Encephalopathy, 2010-2011.

# **Death Review Boards and Committee Memberships**

- 1. Child Death Review Board, San Joaquin County, California, 2007 present
- 2. Infant Death Review Board, San Joaquin County, California, 2007 present
- 3. Elder Death Review Board, San Joaquin County, California, 2007 present
- 4. Homicide Review Committee, San Joaquin County, California, 2010 2015
- 5. San Joaquin County Trauma Audit Committee, San Joaquin County Emergency Medical Services Agency, California, 2014 Present

## **Broadway Theatre and Hollywood Motion Picture Coverage**

- Concussion, drama film, Sony Pictures, starring Will Smith as Bennet Omalu. December 25, 2015. Written and directed by Peter Landsman, produced by Scott Free Productions and the Shuman Company.
- 2. League of Denial: The NFL's Concussion Crisis. Documentary Movie. Frontline, Public Broadcasting Service [PBS]. October 8, 2013.
- 3. EST/Sloan presents Headstrong, by Patrick Link; directed by William Carden. The Ensemble Studio Theatre, New York City, New York. April/May 2012.

# **Selected Book Coverage**

- 1. Concussion by Jeanne Marie Laskas. Random House, New York, USA. 2015 [New York Times Best Seller]
- 2. League of Denial. The NFL, Concussions, and the Battle for Truth by Mark Fainaru-Wada and Steve Fainaru. Crown-Archetype, Random House, New York, USA. 2013.
- 3. The Death Penalty on Trial, Crisis in American Justice, by Bill Kurtis, PublicAffairs™, 2004.

## **Selected Media Coverage - 1**

- 1. Physician who discovered CTE in NFL players gets AMA's highest honor, by Timothy Smith, AMA Wire, November 12, 2016. <a href="https://wire.ama-assn.org/ama-news/physician-who-discovered-cte-nfl-players-gets-ama-s-highest-honor">https://wire.ama-assn.org/ama-news/physician-who-discovered-cte-nfl-players-gets-ama-s-highest-honor</a>
- First case of CTE diagnosed in MMA fighter, by Bob Hohler, The Boston Globe, October 21, 2016. <a href="https://www.bostonglobe.com/sports/2016/10/20/first-case-cte-diagnosed-mma-fighter/SfUZnoh3Z1dT9xruTm95RO/story.html">https://www.bostonglobe.com/sports/2016/10/20/first-case-cte-diagnosed-mma-fighter/SfUZnoh3Z1dT9xruTm95RO/story.html</a>
- 3. Bennet Omalu From Trauma to Triumph, Dr. Benjamin Ola. Akande, President, Westminster College, Missouri. Ladue News, St. Louis, Missouri.
- 4. Bennet Omalu, MD. The Physician Leader Whose Research Inspired The Movie Concussion. PIJ- Physician Leadership Journal, Bill Steiger, American Association For Physician Leadership. Volume 3, Issue 2, March/April, 2016.
- 5. Truth and Consequences. Hillary Louise Johnson. Sactown Magazine. April-May 2016.
- 6. Concussion, Bang to Rights: Science is taking big stems toward understanding the impact of concussion. The Economist, March 5, 2016. <a href="http://www.economist.com/news/science-and-">http://www.economist.com/news/science-and-</a>

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- 7. Bennet Omalu receives congressional honors, by The Record. The Stockton Record Newspaper, February 5, 2016. http://m.recordnet.com/article/20160205/NEWS/160209826.
- 8. CTE in the NFL: The tragedy of Fred McNeill. By Nadia Kounang, CNN. February 5, 2016. http://edition.cnn.com/2016/02/04/health/fred-mcneill-cte-football-player/index.html.
- 9. Brain Trust. Will Smith shines a light on the dark side of the sport he loves. By Ben Reiter. Sports Illustrated Magazine. December 28, 2015.
- 10. The Inside Story of Concussion's Dr. Bennet Omalu and His 'Good Friend' Will Smith. By Johnny Dodd. People Magazine. December 24, 2015.
- 11. The Doctor the NFL Tried to Silence. League physicians sought to discredit Bennet Omalu's autopsy study showing widespread brain damage in former Steelers star Mike Webster. By Jeanne Marie Laskas. World Street Journal. November 24, 2015.
- 12. Greater Good. Health Heroes- Scientist. WebMD. November-December 2015 issue.
- 13. Concussion Pathologist Play by Will Smith Tells His Story. Pam Harrison. Medscape Medical News. Medscpae.com. October 4, 2015.
- 14. Gridiron Dementia. Nicholas Ducassi. Carnegie Mellon Today. May 2015 issue.
- 15. Will Smith to play Bennet Omalu, who changed the way we think about football. Alyssa Rosenberg. The Washington Post, June 5, 2014 [http://www.washingtonpost.com/news/act-four/wp/2014/06/05/will-smith-to-play-bennet-omalu-who-changed-the-way-we-think-about-football/]
- 16. Will Smith to Star In NFL Concussion Drama (EXCLUSIVE): Sony's untitled thriller based on GQ article 'Game Brain'. Justin Kroll. Variety, June 3, 2014. [http://variety.com/2014/film/news/will-smith-to-star-in-movie-about-nfl-concussions-exclusive-1201210878/]
- 17. Dorsett, others show signs of CTE. By William Weinbaum and Steve Delsohn | ESPN.com, November 6, 2013. [http://espn.go.com/espn/otl/story/\_/id/9931754/former-nfl-stars-tony-dorsett-leonard-marshall-joe-delameilleure-show-indicators-cte-resulting-football-concussions].
- 18. "S.J.'s chief medical examiner declines job offer from D.C." by Jennie Rodriguez-Moore. The Stockton Record. October 27, 2013.
- 19. Public Broadcasting Corporation, Frontline, "The League of Denial", October 8, 2013.

- 20. ESPN.com, January 22, 2013. "CTE found in living ex-NFL players" by Steve Fainaru *and* Mark Fainaru-Wada
- 21. The New York Times, April 26, 2012. "Veterans and Brain Disease" by Nicholas D. Kristof.
- 22. Gehirn&Geist, February 9, 2012, Bidredaktion, SCHÄDEL-HIRN-TRAUMATA, Krieg im Kopf [German]; by Sharon Weinberger
- 23. "Gun linked to Gilley in killing" by Jennie Rodriguez-Moore. The Stockton Record. April 17, 2012.
- 24. Nature 477, 390-393 (September 2011) Bombs' hidden impact: The brain war. Wartime explosions may be creating an epidemic of brain damage and a major challenge for scientists; by Sharon Weinberger.
- 25. Faculty Newsletter, UC Davis Health System, February March 2011: Brain Trauma Expert Bennet Omalu Teaches Forensic Neuropathology; Published by the Faculty Development Office.
- 26. ESPN The Magazine, January 10, 2011: Coming to a head: When the NFL reversed field on concussions, it spawned a medical gold rush that pits scientist against scientist in a quest for cures, treatments and dead men's brains; by Peter Keating.
- 27. The Patriot-News, December 26, 2010: 2010: The year of the concussion; by Stefanie Loh.
- 28. CNN.com, November 24, 2010: Ex-NFL stars after concussion: Lives unraveled; by Stephanie Smith, CNN medical producer.
- 29. CNN.com, November 22, 2010, Warner: Playing through concussion 'part of the game'; by Stephanie Smith, CNN Medical News.
- 30. The Patriot-News, August 22, 2010: What Chris Henry taught us: how an autopsy of the former Cincinnatic Bengals' receiver's brain has helped doctors further research about concussions in football, by Stefanie Loh.
- 31. The New York Times, June 28, 2010: Former Bengal Henry Found to Have Had Brain Damage, by Alan Schwarz.
- 32. "Gray jury report unveils details of Huckaby case" by Scott Smith. The Stockton Record. June 19, 2010.
- 33. The Associated Press, June 18, 2010: Forensic Pathologist: Disposal of Sandra Cantu's Body Likely Premeditated.
- 34. Fox News Network, American News HQ, Shannon Bream, Sunday, February 7, 2010.
- 35. ESPN Television, Outside the Lines, Concussions in Football, Sunday, February 7, 2010.

- 36. Stars and Stripes, "Doctors study link between combat and brain disease." January 23, 2010, by Seth Robbins.
- 37. The Sydney Morning Herald, Australia, "US football players face head injury time bomb." January 10, 2010, by Ed Pilkington.
- 38. Guardian Newspaper, United Kingdom, "Ticking timebomb in US colleges as American football head injuries linked to dementia: As NFL bows to pressure and changes its rules, a Congressional hearing has opened into fears of long-term brain damage." January 4, 2010, by Ed Pilkington.
- 39. ESPN.COM, "Doctors: Wrestler had brain damage", December 9, 2009, by Greg Garber.
- 40. "S.J. medical examiner details gruesome scene", by Scott Smitt. The Stockton Record. November 20, 2009.
- 41. ABC Television, Nightline: Driven Mad? What long-term brain injuries can football players sustain? October 16, 2009, by Martin Bashir and Roxanna Sherwood.
- 42. GQ Magazine: Blowing the whistle on the NFL: a shocking look at what the modern game is doing to players' brains. October 2009, by Jeanne Marie Laskas.
- 43. Science Journal: A late hit for pro football players: emerging research suggests that hard knocks on the field may cause delayed brain damage in retired athletes. August 7, 2009, by Greg Miller.
- 44. Canadian Broadcasting Corporation-Television Documentary: Head Games. The Fifth Estate. Aired on Wednesday November 19, 2008 on CBC-TV.
- 45. Canadian Broadcasting Corporation-Television Documentary: A fight to the death. Concussions in Sports. Aired on February 6 and 10, 2008 on CBC-TV and on February 8 on CBC Newsworld.
- 46. State Journal: Sports Research Group Wants Action, WVU's Bailes, others hope findings lead to more education about concussions. September 13, 2007; by Juliet A. Terry.
- 47. Science Daily: Wrestler Chris Benoit Brain's Forensic Exam Consistent with Numerous Brain Injuries. September 6, 2007.
- 48. USA Today: NFL begins debate about concussions at summit. Wednesday, June 20, 2007; by Gary Mihoces.
- 49. USA Today: Concussion force hard look inward around NFL. Tuesday, June 19, 2007; by Gary Mihoces.

- 50. New York Times: PRO FOOTBALL; Lineman, Dead at 36, Sheds Light on Brain Injuries. Friday, June 15, 2007; by Alan Schwarz.
- 51. USA Today: NFL disputes doctor's diagnosis of "footballer's dementia". Monday, June 18, 2007; by Gary Mihoces.
- 52. Neuroscene Podcast: "Dementia of Football": The next major public health issue in sports. Friday, June 15, 2007. <a href="http://www.neuroscene.com">http://www.neuroscene.com</a>.
- 53. HBO Sports, RealSports with Bryant Gumbel, Concussions in the NFL, May 7, 2007.
- 54. Head Games, Football's Concussion Crisis, by Christopher Nowinski, The Drummond Publishing Group, 2007.
- 55. New York Times: Pro football, expert ties ex-player's suicide to brain damage from football. Thursday, January 18, 2007; by Alan Schwarz.
- 56. Washington Post: "Brain chaser" tackles effects of NFL hits. Wednesday April 25, 2007; by Les Carpenter.
- 57. Football Concussions Linked to Depression, Cognitive Impairment—Experts Seek Prospective Studies, by Stephanie Cajigal, Neurology Today Volume 7(5), 6 March 2007, pp 1, 22–23. Lippincott, Williams and Wilkins, 2007 AAN Enterprises, Inc.
- 58. Concussions in Collision Sports, by Alyssa Banotal, Advance, for Speech-Language Pathologists and Audiologists, The Nation's Speech-Language and Audiology Weekly. May 14, 2007 Vol. 17 No. 20, pp 6-8, 42.
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- 35. Omalu B, CTE and FDDNP: Blast and Non-Blast Variants. 13<sup>th</sup> Annual Society for Brain Mapping and Therapeutics. Miami, Florida, April 9<sup>th</sup>, 2016.
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- 6. <u>Dr. Bennet Omalu,</u> Sacrament of marriage protective factor against suicide, Commentary, Pittsburgh Catholic Newspaper; Page 5, Friday, February 20, 2004
- 7. <u>Bennet I. Omalu, MD, MPH,</u> Announcing Beta-Amyloid Precursor Protein Immunohistochemistry for the Forensic Pathology Practice. A newsletter circulated to all members of the National Association of Medical Examiners, March 2004.

#### **PART 3: COURT TESTIMONIES**

#### **CRIMINAL CASES**

#### NOTE: I began keeping records in June 2009. I do not have records from 1999 to 2009, a period of ten years.

- 1. The People of the State of California vs. Amera Khalid Addi. Superior Court of California, County of San Joaquin, California. Trial, June 4, 2009. LF010386.
- 2. The People of the State of California vs.Gerardo Antonio Orozco, Jose Jesus Gomez, Steven Mickey Alvarado. Superior Court of California, County of San Joaquin, California. Preliminary Hearing, June 8, 2009. SF111174.
- 3. The People of the State of California vs. Rammel Barao, Vandell Johnson, Jr. Superior Court of California, County of San Joaquin, California. Preliminary Hearing. May 28, 2009. SF111178.
- 4. The People of the State of California vs. Gabriel Isidore Olivarez, Ruben Carrillo. Superior Court of California, County of San Joaquin, California. Preliminary Hearing. June 24, 2009. SF111555.
- 5. The People of the State of California vs. Terrance Graham. Supeior Court of California, County of San Joaquin, California. Preliminary Hearing. July 20, 2009. SF111544.
- 6. The People of the State of California vs. Melissa Huckabee. Superior Court of California, County of San Joaquin. California. Grand Jury. July 21, 2009. DA# 2535.
- 7. The People of the State of California vs. Chanreasmey Prum, Rattany Uy, Michael Andrew Garduno, Deandre Cole. Superior Court of California, County of San Joaquin, California. Trial. August 4, 2009. SF107288.
- 8. The People of the State of California vs. Raymond Lee Dale. Superior Court of California, County of San Joaquin, California. Preliminary Hearing. August 5, 2009. MM118508.
- 9. The People of the State of California vs. Anthony Santos Mejorado, Issac Joseph Mejorado. Superior Court of California, County of San Joaquin, California. Preliminary Hearing. August 25, 2009. SF111696.
- 10. The People of the State of California vs. Daniel Seth Maciel, Jaime Ledezma Baro, Travis Leon Carter, Debbie Rosemarie Perez, Roy Allen Thierry. Superior Court of California, County of San Joaquin, California. Trial. September 18, 2009. SF105792.
- 11. The People of the State of California vs. Dejon Whitfield, Djuane Hicks, Edward Adams. Superior Court of California, County of San Joaquin, California. Grand Jury. September 23, 2009. DA# 2550.

- 12. The People of the State of California vs. Jesse Refugio Tellez. Superior Court of California, County of San Joaquin, California. Preliminary Hearing. October 15, 2009. SF112270.
- 13. The People of the State of California vs. Sidney Kelley, David Depron, Erica Crystal Vergara, Amanda Nicole Newitt. Superior Court of California, County of San Joaquin, California. Preliminary Hearing. October 20, 2009. SF107969.
- 14. The People of the State of California vs. Terry Lynn Herron. Superior Court of California, County of San Joaquin, California. Preliminary Hearing. October 28, 2009. SF112494.
- 15. The People of the State of California vs. Mario Pena. Superior Court of California, County of San Joaquin, California. Trial. November 4, 2009. MF031224.
- 16. The People of the State of California vs. Jorge Morgan, Robert Anthony Plunkett-Morgan. Superior Court of California, County of San Joaquin, California. Preliminary Hearing. November 19, 2009. SF112590.
- 17. The People of the State of California vs. Jeffrey Haworth. Superior Court of California, County of San Joaquin, California. Trial. January 5, 2010. SF112033.
- 18. The People of the State of California vs. Joel Ladon Lewis, Gerell Lee Whatley, Delisa Rochelle Bryant. Superior Court of California, County of San Joaquin, California. Trial. January 22, 2010. SF110732.
- 19. The People of the State of California vs. Verra Torres. Superior Court of California, County of San Joaquin, California. Grand Jury. January 27, 2010. DA# 2571.
- 20. The People of the State of California vs. Richard Tihomir Lukic. Superior Court of California, County of San Joaquin, California. Preliminary Hearing. February 3, 2010. SF113247.
- 21. The People of the State of California vs. Gabriel Isidore Olivarez, Ruben Carrillo. Superior Court of California, County of San Joaquin, California. Trial. February 18, 2010. SF111555.
- 22. The People of the State of California vs. Jose Jesus Perez, Jose Gutierrez. Superior Court of California, County of San Joaquin. Preliminary Hearing. February 19, 2010. SF113004.
- 23. The State of Nevada vs. Victor Olusegun Fakoya. Clark County District Court, Nevada. Trial. February 24, 2010. 08F22346X/C249790.
- 24. The People of the State of California vs. Anthony Adell Hubbard. Superior Court of California, County of San Joaquin. Preliminary Hearing. March 2, 3, 2010. SF113328.

- 25. The People of the State of California vs. Gerardo Antonio Orozco, Jose Jesus Gomez, Steven Mickey Alvarado. Superior Court of California, County of San Joaquin. Trial. March 10, 2010. SF111174.
- 26. Commonwealth of Virginia vs. Elmer Jonathan Midence. Circuit Court of Fairfax County, Virginia. Trial. March 11, 2010. FE-2009-0000914.
- 27. The People of the State of California vs. Ghanem Valdimar Elsumeri. Superior Court of California, County of San Joaquin, California. Preliminary Hearing. March 23, 2010. SF113429.
- 28. The People of the State of California vs. Michael Dwayne Mau, Johnny Joseph Martinez, Elvis Mendoza, Cecilia Naomi Colon, Maria De La Luz Martinez. Superior Court of California, County of San Joaquin, California. Preliminary Hearing. March 28, 2010. SF113131.
- 29. The People of the State of California vs. Leopoldo Carranza. Superior Court of California, County of San Joaquin, California. Preliminary Hearing. March 28, 2010. SF113697.
- 30. The People of the State of California vs. Baljit Singh. Superior Court of California, County of San Joaquin, California. Preliminary Hearing. April 7, 2010. SF113711.
- 31. The People of the State of California vs. Antonio Perez Ford. Superior Court of California, County of San Joaquin, California. Trial. May 12, 2010. SF106558.
- 32. The People of the State of California vs. James Washington. Superior Court of California, County of Sacramento, California. Trial. May 18, 2010. 08F04720.
- 33. The People of the State of California vs. Jose Rodriguez Cardenas, Ramon Enriquez Mendez. Superior Court of California, County of San Joaquin, California. Trial. May 20, 2010. SF106859.
- 34. The People of the State of California vs. Arthur Carlos Garcia. Superior Court of California, County of San Joaquin, California. Trial. May 26, 2010. SF107299.
- 35. The People of the State of California vs. Junior Barrasa. Superior Court of California, County of San Joaquin, California. Preliminary Hearing. June 2, 2010. SF113295.
- 36. The People of the State of California vs. Percy Lamonte Camel. Superior Court of California, County of San Joaquin, California. Preliminary Hearing. June 8, 2010. SF114327.
- 37. The People of the State of California vs. Ricardo David Tabios. Superior Court of California, County of San Joaquin, California. Preliminary Hearing. July 13, 2010. SF112508.
- 38. The People of the State of California vs. David Leon Lagrimas. Superior Court of California, County of San Joaquin, California. Preliminary Hearing. July 14, 2010. SF114042.

- 39. The People of the State of California vs. Rammel Barao, Vandell Johnson, Jr. Superior Court of California, County of San Joaquin, California. Trial. August 3, 2010. SF111178.
- 40. The People of the State of California vs. Jose Luis Lopez. Superior Court of California, County of San Joaquin, California. Preliminary Hearing. August 9, 2010. SF114511.
- 41. The People of the State of California vs. Siphanna Touch, Dinunn Chan. Superior Court of California, County of San Joaquin, California. Preliminary Hearing. August 30, 2010. SF114812.
- 42. The People of the State of California vs. Robert Alexis Turner, Allen John Periman, Valerie Nessler. Superior Court of California, County of San Joaquin, California. Preliminary Hearing. September 8, 2010. SF114626.
- 43. The People of the State of California vs. Patrick John Forrester. Superior Court of California, County of San Joaquin, California. Preliminary Hearing. September 14, 2010. SF114825.
- 44. The People of the State of California vs. Leopoldo Carranza. Superior Court of California, County of San Joaquin, California. Trial. September 28, 2010. SF113697.
- 45. The People of the State of California vs. Jose Jesus Perez, Jose Gutierrez. Superior Court of California, County of San Joaquin, California. Trial. October 1, 2010. SF113004.
- 46. The People of the State of California vs. Mitchell Barron. Superior Court of California, County of San Joaquin, California. Preliminary Hearing. October 12, 2010. SF114979.
- 47. The People of the State of California vs. Kevin Harrison. Superior Court of California, County of San Joaquin, California. Preliminary Hearing. October 18, 2010. SF115281.
- 48. The People of the State of California vs. Maria Colunga and Elias Armenta. Superior Court of California, County of San Joaquin, California. Preliminary Hearing. October 28, 2010. SF111623.
- 49. The People of the State of California vs. Jose Antonio Vega. Superior Court of California, County of San Joaquin, California. Preliminary Hearing. October 29, 2010. SF115481.
- 50. The People of the State of California vs. Patrick Devora. Superior Court of California, County of San Joaquin, California. Preliminary Hearing. November 1, 2010. SF115194.
- 51. The People of the State of California vs. Nicholas Ferrell Emmett. Superior Court of California, County of Sacramento, California. Preliminary Hearing. November 5, 2010. 09F04994.
- 52. The People of the State of California vs. Michael Dwayne Mau, Johnny Joseph Martinez. Superior Court of California, County of San Joaquin, California. Trial. November 9, 2010. SF113131.

- 53. The People of the State of California vs. Douglas Andre Thomas, Jr. Superior Court of California, County of Sacramento, County of San Joaquin, California. Preliminary Hearing. November 19, 2010. SF114273.
- 54. The People of the State of California vs. Gregory Michael Rice. Superior Court of California, County of San Joaquin, California. Preliminary Hearing. November 30, 2010. SF114606.
- 55. The People of the State of California vs. Jesse Refugio Tellez. Superior Court of California, County of San Joaquin, California. Trial. December 1, 2010. SF112270.
- 56. The State of Nevada vs Victor Olusegun Fakoya. Clark County District Court, Clark County, Nevada. Trial. December 6, 7, 2010. 08F22346X/C249790.
- 57. The People of the State of California vs. Marco Antonio Rios. Superior Court of California, County of San Joaquin, California. Preliminary Hearing. December 29, 2010. SF115976.
- 58. The People of the State of California vs. Demario Josh Langford. Superior Court of California, County of San Joaquin, California. Preliminary Hearing. January 3, 2011. SF115727.
- 59. The People of the State of California vs. Tiffany Ann Holmes. Superior Court of California, County of San Joaquin, California. Preliminary Hearing. January 18, 2011. SF115318.
- 60. The People of the State of California vs. Anthony Paul Acosta. Superior Court of California, County of San Joaquin, California. Preliminary Hearing. January 24, 2011. SF115873.
- 61. The People of the State of California vs. Alexander Suliven. Superior Court of California, County of San Joaquin, California. Preliminary Hearing. January 24, 2011. SF115230.
- 62. The People of the State of California vs. Baljit Singh. Superior Court of California, County of San Joaquin, California. Trial. February 3, 8, 2011. SF113711.
- 63. The People of the State of California vs. Michael Sokkhorm May, Kaller Sam, Ron Korm, Jereme Sin, Allen Phalit, Eddi Yam, Savann Rang and Ly Jan So. Superior Court of California, County of San Joaquin, California. Grand Jury. February 9, 2011. DA#2598.
- 64. The People of the State of California vs. Edgar Jose Canseco. Superior Court of California, Count of San Joaquin, California. Preliminary Hearing. March 1, 2011. SF115887.
- 65. The People of the State of California vs. Alphonze Fitzhugh. Superior Court of California, County of San Joaquin, California. Grand Jury. March 3, 2011. DA#2632.

- 66. The People of the State of California vs. Angelo Marcus Gonzales, Lionzo Angel Villarreal. Superior Court of California, County of San Joaquin, California. March 14, 2010. SF115628.
- 67. The People of the State of California vs. Noah Eugene Duenas. Superior Court of California, County of San Joaquin, California. Preliminary Hearing. Preliminary Hearing. March 22, 2011. SF116082.
- 68. The People of the State of California vs. Benjamin Serratos III. Superior Court of California, County of San Joaquin, California. Preliminary Hearing, March 28, 2011. SF115116.
- 69. The People of the State of California vs. Richard Tihomir Lukic. Superior Court of California, County of San Joaquin, California. Jury Trial. March 29, 2011. SF113247.
- 70. The People of the State of California vs. Genaro Torres Jr. Superior Court of California, County of San Joaquin, California. Grand Jury. April 7, 2011. DA# 2638.
- 71. The People of the State of California vs. Bobby Suriyan. Superior Court of California, County of San Joaquin, California. Preliminary Hearing. April 22, 2011. SF115112.
- 72. The People of the State of California vs. Jose Rodriguez Cardenas. Superior Court of California, County of San Joaquin, California. Trial. April 27, 2011. SF106859.
- 73. The Commonwealth of Virginia vs. Antonio B. Rueda [AKA: Antonio Barron]. Floyd County Circuit Court, Virginia. Trial. May 5, 2011. CR10-09.
- 74. The People of the State of California vs. Brandon Cole Lagorio. Superior Court of California, County of San Joaquin, California. Preliminary Hearing. May 9, 2011. LF012214.
- 75. The People of the State of California vs. John Joseph Lydon. Superior Court of California, County of San Joaquin, California. Preliminary Hearing. May 9, 2011. SF115784.
- 76. The People of the State of California vs. Ignacio Felix. Superior Court of California, County of San Joaquin, California. Preliminary Hearing. May 16, 2011. SF116259.
- 77. The People of the State of California vs. Demario Josh Langford. Superior Court of California, County of San Joaquin, California. Trial. May 17, 2011. SF115727.
- 78. The People of the State of California vs. Georgina Nunez, Hamid Abid Flores. Superior Court of California, County of San Joaquin, California. Preliminary Hearing. June 6, 2011. SF117178.
- 79. The People of the State of California vs. Patrick John Forrester. Superior Court of California, County of San Joaquin, California. Trial. June 17, 21, 2011. SF114825.
- 80. The People of the State of California vs. Robert Alexis Turner, Allen John Periman, Valerie Nessler. Superior Court of California, County of San Joaquin, California. Trial. June 30, 2011. SF114626.

- 81. The People of the State of California vs. David Leon Lagrimas. Superior Court of California, County of San Joaquin, California. Trial. July 21, 2011. SF114042.
- 82. Commonwealth of Virginia v. Stephanie Renee Scates. Circuit Court of the County of Pittsylvania, Virginia. Trial. July 25, 2011. CR10000525-00/CR10000850-00.
- 83. The People of the State of California vs. Jose Antonio Valle. Superior Court of California, County of San Joaquin, California. Preliminary Hearing. August 2, 2011. SF116354.
- 84. The People of the State of California vs. Jose Antonio Vega. Superior Court of California, County of San Joaquin, California. Trial. August 17, 2011. SF115481.
- 85. The People of the State of California vs. Gerardo Antonio Orozco, Jose Jesus Gomez, Steven Mickey Alvarado. Superior Court of California, County of San Joaquin, California. Trial. September 1, 2011. SF111174.
- 86. The People of the State of California vs. Courtney Brown. Superior Court of California, County of San Joaquin, California. Grand Jury Testimony. September 12, 2011. SP07-42491.
- 87. The People of the State of California vs. Howard Daniel Smith. Superior Court of California, County of San Joaquin, California. Preliminary Hearing. September 20, 2011. SF117208.
- 88. The People of the State of California vs. Alberto Francisco Lara, Issiah Flores, Aurelio Espinoza, Jr. Superior Court of California, County of San Joaquin, California. Preliminary Hearing. September 21, 2011. SF116240.
- 89. The People of the State of California vs. Patricia Lopez. Superior Court of California, County of San Joaquin, California. Preliminary Hearing. September 26, 2011. SF116883.
- 90. The State of Maryland vs. David Bolding. Circuit Court for Prince George's County, Maryland. Trial. October 13, 2011; October 17, 2011. 101221X.
- 91. The People of the State of California vs. Djuane Nunley, Dorjan Lyndell and Marcus McCliman. Superior Court of California, County of San Joaquin, California. Preliminary Hearing. November 14, 2011. SFII8124.
- 92. The State of Nevada vs. Cody Brian Wallace. Justice Court of Walker River Township, County of Lyon, State of Nevada. Preliminary Hearing. October 16, 2011. 10 CR 00385 3H
- 93. The People of the State of California vs. Luciano Michael Mejia. Superior Court of California, County of San Joaquin, California. Preliminary Hearing. November 28, 2011. SF117784.
- 94. The People of the State of California vs. Angelo Marcus Gonzales, Lionzo Angel Villarreal. Superior Court of California, County of San Joaquin, California. Trial. December 9, 2011. SF115628.
- 95. The Commonwealth of Virginia vs. Junior Kamper Spradlin. In the Circuit Court of Washington County, Virginia. Trial. December 13, 2011. CR11-594.

- 96. The People of the State of California vs. Bianca Armstead. Superior Court of California, County of San Joaquin, California. Preliminary Hearing. December 15, 2011. SF116605.
- 97. The People of the State of California vs. Xavier Spivey, Stedviano Mayes. Superior Court of California, County of San Joaquin, California. Grand Jury. December 15, 2011. 11-24963/GJ-2011-4013055.
- 98. The People of the State of California vs. Michael Harmon. Superior Court of California, County of Sacramento, California. Trial. December 19, 2011. 11F06107.
- 99. The People of the State of California vs. Aushua Mcduff-Brown. Superior Court of California, County of San Joaquin, California. Preliminary Hearing. December 20, 2011. SF118629.
- 100. The People of the State of California vs. Junior Barrasa. Superior Court of California, County of San Joaquin, California. Jury Trial. December 23, 2011. SF113295.
- 101. The People of the State of California vs. Gonzalo Riquelme, Miguel Valle. Superior Court of California, County of San Joaquin, California. Preliminary Hearing. January 3, 2012. SF118647.
- 102. The People of the State of California vs. Howard Lamont Eady. Superior Court of California, County of San Joaquin, California. Preliminary Hearing. January 9, 2012. SF117609.
- 103. The People of the State of California vs. Fernando Valdez. Superior Court of California, County of San Joaquin, California. Trial. January 19, 2012. SF115526.
- 104. The People of the State of California vs. Donald Lee Wilson. Superior Court of California, County of San Joaquin, California. Preliminary Hearing. January 23, 2012/March 1, 2012/March 5, 2012. SF118090.
- 105. The People of the State of California vs. Noah Duenas. Superior Court of California, County of San Joaquin, California. Preliminary Hearing. February 2, 2012. SF116082 (A).
- 106. The State of Nevada vs. Lisanewerk Gebresilassie. Las Vegas Justice Court, Clark County, Las Vegas, Nevada. Preliminary Hearing. February 8, 2012. 11F17023X.
- 107. The People of the State of California vs. Benjamin Serrotos, III. Superior Court of California, County of San Joaquin, California. Trial. February 15, 2012. SF115116.
- 108. The People of the State of California vs. Anthony Paul Acosta. Superior Court of California, County of San Joaquin, California. Trial. February 28, 2012. SF118511.
- 109. The People of the State of California vs. Eddie Sandoval Lopez. Superior Court of California, County of San Joaquin, California. Preliminary Hearing. February 29, 2012. SF119144.
- 110. The People of the State of California vs. Feliciano Lopez and Alajandro Sanchez. Superior Court of California, County of San Joaquin, California. Grand Jury. March 2, 2012. S010-09651.

- 111. The People of the State of California vs. Dawson Andrew Mcgehee. Superior Court of California, County of San Joaquin, California. Preliminary Hearing. March 28, 2012. SF118835.
- 112. The People of the State of California vs. Jason Ross Gilley. Superior Court of California, County of San Joaquin, California. Preliminary Hearing. April 16, 2012. SF118737.
- 113. The People of the State of California vs. Rebecca Mendoza. Superior Court of California, County of San Joaquin, California. Preliminary Hearing. April 17, 2012. SF119652.
- 114. The People of the State of California vs. Devon Omar Epps. Superior Court of California, County of San Joaquin, California. Preliminary Hearing. April 24, 2012. SF119095.
- 115. The People of the State of California vs. Robert Alexis Turner, Allen John Periman, Valerie Nessler. Superior Court of California, County of San Joaquin, California. Preliminary Hearing. May 2, 2012. SF114626.
- 116. The People of the State of California vs. Jonathan Casas. Superior Court of California, County of San Joaquin, California. Preliminary Hearing. May 14, 2012. SF119416.
- 117. The People of the State of California vs. Edgar Canseco, Emmanuel Mendoza. Superior Court of California, County of San Joaquin, California. Trial. June 1, 2012. SF115887.
- 118. The People of the State of California vs. Richard Gonzalez, Jr. Superior Court of California, County of San Joaquin, California. Preliminary Hearing. June 27, 2012. SF119941.
- 119. The People of the State of California vs. Tammi Harris. Superior Court of California, County of San Joaquin, California. Preliminary Hearing. June 27, 2012. SF117439.
- 120. The People of the State of California vs. Alberto Lara, Issiah Flores, Aurelio Espinoza, Jr. Superior Court of California, County of San Joaquin. Jury Trial. August 8, 2012. SF116240.
- 121. The People of the State of California vs. Link Rhodes, III. Superior Court of California, County of San Joaquin. Preliminary Hearing. July 18, 2012. SF120193.
- 122. The People of the State of California vs. Jose Antonio Vega. Superior Court of California, County of San Joaquin. Jury Trial. August 23, 2012. SF115481.
- 123. The People of the State of California vs. Demetrius Anderson. Superior Court of California, County of San Joaquin. Grand Jury. August 23, 2012. SP12-01979.
- 124. The People of the State of California vs. Manuel Guzman. Superior Court of California, County of Sacramento. Jury Trial. August 30, 2012. IIFO5045.
- 125. The People of the State of California vs. Robert Antonio Barnes, Carlos Alberto Hernandez. Superior Court of California, County of San Joaquin. Jury Trial. September 7, 2012. SF117037.

- 126. The People of the State of California vs. Ashik Ali Zane. Superior Court of California, County of San Joaquin. Preliminary Hearing. September 10, 2012. SF118453.
- 127. The People of the State of California vs. Tushambi Evans and Jamela Evans. Superior Court of California, County of San Joaquin, California. Preliminary Hearing. September 12, 2012. SF119970.
- 128. The People of the State of California vs. Terrell Lamont Washington and Link Rhodes. Superior Court of California, County of San Joaquin, California. Grand Jury. October 2, 2012. SP12-10681.
- 129. The People of the State of California vs. Aushua Mcduff-Brown. Superior Court of California, County of San Joaquin, California. July Trial. October 4, 2012. SF118629.
- 130. The People of the State of California vs. Stephen Carriero. Superior Court of California, County of San Joaquin, California. Grand Jury. October 15, 2012. TP12-04806.
- 131. The People of the State of California vs. Djuane Nunley, Durjan Lyndell Daniels and Marcus Mccliman. Superior Court of California, County of San Joaquin, California. Jury Trial. October 31, 2012. SF118124.
- 132. The People of the State of California vs. Dawson Andrew Mcgehee. Superior Court of California, County of San Joaquin, California. Jury Trial. November 8, 2012. SF118835.
- 133. The State of Nevada vs. Kevin Lee Travis. Justice's Court of New River Township, County of Churchill, State of Nevada. Preliminary Hearing. November 9, 2012. 12-CR-00041.
- 134. The People of the State of California vs. Bobby Suriyan. Superior Court of California, County of San Joaquin, California. Preliminary Hearing. December 4, 2012. SF120760.
- 135. The State of Nevada vs. Robert Schnueringer, Zach Kelsey, and Andrue Jefferson. Second Judicial District Court of the State of Nevada. County of Washoe. Trial. December 10, 2012. WCSO WC12-001241.
- 136. The People of the State of California vs. Yor Xiong. Superior Court of California, County of San Joaquin, California. Preliminary Hearing. December 11, 2012. SF120774.
- 137. The People of the State of California vs. Devon Omar Epps. Superior Court of California, County of San Joaquin, California. Trial. December 20, 2012. SF119095.
- 138. The People of the State of California vs. Isaac Martinez. Superior Court of California, County of San Joaquin, California. Grand Jury. December 20, 2012. SO11-21376.
- 139. The People of the State of California vs. John Joseph Lydon. Superior Court of California, County of San Joaquin, California. Jury Trial. January 16, 2013. SF115784.
- 140. The People of the State of California vs. Anthony Santos Mejorado, Issac Joseph Mejorado. Superior Court of Calfornia, County of San Joaquin, California. Jury Trial. February 6, 2013. SF111696.

- 141. The State of Nevada vs. Diego Nochebuena. Eight Judicial District Court, Clark County, Nevada. Motion Hearing. February 28, 2013. C-11-270267-1.
- 142. The People of the State of California vs. Stedvieno Mayes, Xavier Spivey and Shameka Evans. Superior Court of California, County of San Joaquin, California. Jury Trial. March 5, 2013. SF119150.
- 143. The People of the State of California vs. Brandon Riberal, Raymond Vallis, Rocky Riberal, Vincente Yanez, Carlos Zendejas. Superior Court of California, County of San Joaquin, California. Grand Jury. March 11, 2013. SP12-11070.
- 144. The People of the State of California vs. Jay Loren Prater. Superior Court of California, County of San Joaquin, California. Preliminary Hearing. March 25, 2013. SF120867.
- 145. The People of the State of California vs. Otilio Garcia. Superior Court of California, County of San Joaquin, California. Grand Jury. March 26, 2013. SO12-28688.
- 146. The People of the State of California vs. Alfredo Reyes Reyes. Superior Court of California, County of San Joaquin, California. Grand Jury. April 9, 2013. S082-01452.
- 147. The People of the State of California vs. Charlie Dumlao. Superior Court of California, County of San Joaquin, California. Preliminary Hearing. April 10, 2013. SF121232.
- 148. The People of the State of California vs. Michael Sokkhorm Muy, Kailler Sam, Ron Korm. Superior Court of California, County of San Joaquin, California. Jury Trial. April 25, 2013. SF116876.
- 149. The People of the State of California vs. James Elron Mings. Superior Court of California, County of Yolo, California. Jury Trial. May 7, 2013. 13-0828.
- 150. The People of the State of California vs. Oscar Gaspar. Superior Court of California, County of Sacramento, California. Motion Hearing. May 22, 2013. 11F05744.
- 151. Commonwealth of Virginia v. Vanesa Patricio Cruz. The Circuit Court for Loudoun County, Leesburg, Virginia. Jury Trial. May 29 and 30, 2013. CR23981.
- 152. People of the State of California vs. Angelo Dunya Wright. Superior Court of California, County of Sacramento, California. Jury Trial. June 10, 2013. 11F07773.
- 153. The People of the State of California vs. Oscar Gaspar. Superior Court of California, County of Sacramento, California. Jury Trial. June 18, 2013. 11F05744.
- 154. The People of the State of California vs. Tushambi Evans and Jamela Evans. Superior Court of California, County of San Joaquin, California. Motion Hearing. June 24, 2013. SF119970.
- 155. The State of Nevada v. Paul Mathew Revell. Second Judicial District Court of the State of Nevada, County of Washoe, Reno, Nevada. Discovery Motion Hearing. August 9, 2013. CR12-1874.

- 156. Yolo County Grand Jury, California, Samuel Stone, #A12-0691, Office of the District Attorney, Yolo County, Woodland, California. Grand Jury Testimony. August 20, 2013.
- 157. The People of the State of California vs. Otilio Lee Garcia. Superior Court of California, County of San Joaquin, California. Preliminary Hearing. September 12, 2013. SF125241.
- 158. The People of the State of California vs. Jason Ross Gilley. Superior Court of California, County of San Joaquin, California. Jury Trial. September 13, 2013. SF118737.
- 159. The People of the State of California vs. Percy Lamonte Camel. Superior Court of California, County of San Joaquin, California. Jury Trial. September 27, 2013. SF114327.
- 160. The People of the State of California vs. Percy Lamonte Camel. Superior Court of California, County of San Joaquin, California. Jury Trial. October 4, 2013. SF114327.
- 161. The People of the State of California vs. Anthony Nabor Orosco. Superior Court of California, County of San Joaquin, California. Preliminary Hearing. October 8, 2013. SF123417.
- 162. The People of the State of California vs. Edgar Frayre. Superior Court of California, County of San Joaquin, California. Grand Jury. October 9, 2013. SP13-31815.
- 163. The People of the State of California vs. Tushambi Evans and Jamela Evans. Superior Court of California, County of San Joaquin, California. Trial. October 24, 25, 2013; November 18, 2013. SF119970.
- 164. The People of the State of California vs. Miguel Araiza, Jr. Superior Court of California, County of San Joaquin, California. Trial. November 26, 2013. SF119827.
- 165. The People of the State of California vs. Bobby Suriyan. Superior Court of California, County of San Joaquin, California. Trial. December 4, 2013. SF120760.
- 166. Commonwealth of Virginia v. Vanesa Patricio Cruz. The Circuit Court for Loudoun County, Leesburg, Virginia. Jury Trial. December 19, 20, 2013. CR23981.
- 167. The People of the State of California vs. Miguel Flores. Superior Court of California, County of San Joaquin, California. Preliminary Hearing. January 6, 2014. SF124973.
- 168. State of Nevada vs. Patrick Cody McCormick. Fourth Judicial District of the State of Nevada in and for the County of Elko, Nevada. Evidentiary Hearing. January 7, 2014. CR-FP-95-6248.
- 169. The People of the State of California vs. Jesse Leon Helm. Superior Court of California, County of San Bernardino, Victorville Superior Court, California. Preliminary Hearing. January 9, 2014. FV11201162.
- 170. The People of the State of California vs. Otilio Lee Garcia. Superior Court of California, County of San Joaquin, California. Jury Trial. February 25, 2014. SF125241.

- 171. State of Nevada vs. Leonardo Cardoza. In the Justice Court of Carson Township in and for Carson City, State of Nevada, Carson City, Nevada. Jury Trial. February 27, 2014. 13 CR 00059 1B.
- 172. The People of the State of California vs. Charlie Dumlao. Superior Court of California, County of San Joaquin, California. Jury Trial. February 28, 2014. SF121232.
- 173. The People of the State of California vs. Brandon Rocky Riberal, Raymond Valles, Rocky Riberal, Vincente Yanez and Carlos Zendejas. Superior Court of California, County of San Joaquin, California. Jury Trial. March 18, 2014. SF123374.
- 174. The People of the State of California vs. Christopher Robinson. Superior Court of California, County of San Joaquin. Preliminary Hearing. March 21, 2014. SF126631.
- 175. The People of the State of California vs. Stephen James Rodriguez, Matthew Ornelas and Herbis Sanchez Sauceda. Superior Court of California, County of San Joaquin, California. Preliminary Hearing. March 26, 2014. SF125294.
- 176. The People of the State of California vs. Michael McKuin. Superior Court of California, County of San Joaquin. Grand Jury Hearing. March 27, 2014. SO13-00014.
- 177. The People of the State of California vs. Joshua Adam Franklin. Superior Court of California, County of San Joaquin, California. Preliminary Hearing. March 27, 2014. SF124959.
- 178. The People of the State of California vs. Juan Julius Barnes, Terrance Lee Adams and Deandre Lamont Adams. Superior Court of California, County of San Joaquin, California. Preliminary Hearing. April 1, 2014. SF124679.
- 179. The People of the State of California vs. Jerome Sidney Deavila. Superior Court of California, County of San Joaquin, California. Preliminary Hearing. April 3, 2014. SF123201.
- 180. The People of the State of California vs. Tammi Harris. Superior Court of California, County of San Joaquin, California. Jury Trial. April 9, 2013. SF117439.
- 181. The People of the State of California vs. Yvonne Nichole Gallardo. Superior Court of California, County of San Joaquin, California. Preliminary Hearing. April 14, 2014. SF125711.
- 182. The People of the State of California vs. Quentin Paul Stone. Superior Court of California, Yolo County, California. Jury Trial. May 6, 2014; May 15, 2014. 13003357.
- 183. The People of the State of California vs. Sergio Reyes. Superior Court of California, County of Sacramento, California. Jury Trial. May 7, 2014. 13F07721.
- 184. The People of the State of California vs. Matthew Ornelas and Herbis Sanchez Sauceda. Superior Court of California, County of San Joaquin, California. Preliminary Hearing. May 9, 2014. SF125294.

- 185. The People of the State of California vs. Derrick Ware, Dameon Spragans and Robert McNeally. Superior Court of California, County of Contra Costa, California. Jury Trial. May 15, 2014. 5110797-8.
- 186. The People of the State of California vs. John Sylvester Collier, III. Superior Court of California, County of San Joaquin, California. Preliminary Hearing. May 16, 2014. SF125355.
- 187. The People of the State of California vs. Eric Mitchell. Superior Court of California, County of Sacramento, California. Jury Trial. May 21, 2014. 13FO5850.
- 188. The People of the State of California vs. Zane Ali Ashik. Superior Court of California, County of San Joaquin, California. Jury Trial. August 8, 2014. SF118453.
- 189. The People of the State of California vs. Dwight Wilson Slay, Jr. and Latima Denise Coleman. Superior Court of California, County of San Joaquin, California. Preliminary Hearing. August 19, 2014; August 26, 2014. SF127718.
- 190. The People of the State of California vs. Bobby Sherell Miller and Kyren Nicodemus Nicholson. Superior Court of California, County of San Joaquin, California. Preliminary Hearing. August 20, 2014. SF127084.
- 191. The People of the State of California vs. David Plan and Brandon Gann. Superior Court of California, County of San Joaquin, California. Jury Trial. September 3, 2014. SF122517.
- 192. The People of the State of California vs. Rebecca Mendoza. Superior Court of California, County of San Joaquin, California. Jury Trial. September 19, 2014. SF119652.
- 193. The People of the State of California vs. Gary Miguel. Superior Court of California, County of Sacramento, California. Jury Trial. October 3 and 6, 2014. 13F03791.
- 194. The People of the State of California vs. Christopher Robinson. Superior Court of California, County of San Joaquin, California. Jury Trial. October 14, 2014. SF126631.
- 195. The People of the State of California vs. Juan Julius Barnes and Deandre Lamont Adams. Superior Court of California, County of San Joaquin, California. Jury Trial. October 17, 2014. SF124679.
- 196. The People of the State of California vs. Christopher Emery, Steve Schleimer, Joseph Dibartolomeo and Brian Ice. Superior Court of California, County of San Joaquin, California. Grand Jury. October 27, 2014. SO13-23454.
- 197. The People of the State of California vs. Longino Lamort Tolefree. Superior Court of California, County of San Joaquin, California. Preliminary Hearing. October 28, 2014. SF126699.
- 198. The State of Nevada vs. Paul Mathew Revell. Second Judicial District Court, State of Nevada, County of Washoe. Jury Trial. November 13 and 19, 2014. CR12-1874/D03.

- 199. The People of the State of California vs. Robert Thomas. Superior Court of California, County of San Joaquin, California. Preliminary Hearing. November 14, 2014. SF127496.
- 200. The People of the State of California vs. Nicholas Medina. Superior Court of California, County of San Joaquin, California. Preliminary Hearing. November 20, 2014. SF127256.
- 201. State of Nevada vs. Brittany Michelle Paugh. In the Justice Court of Carson Township and for Carson City, State of Nevada. Sentencing Hearing. December 30, 2014. 14 CR 00017 1B.
- 202. The People of the State of California vs. Jorge Aceves-Cortez. Superior Court of California, County of Kern, California. Jury Trial. January 12, 13, 2015. BF151070A.
- 203. The People of the State of California vs. Francisco Javier Gonzalez Rivera. Superior Court of California, County of San Joaquin, California. Preliminary Hearing. February 3, 2015. SF129196.
- 204. The State of Nevada vs. Tatiana Leibel. In the Ninth Judicial District Court of the State of Nevada in and for the County of Douglas, Nevada. Jury Trial. February 4, 2015. 14-CR-0062.
- 205. The People of the State of California vs. Coleen Iva Marie Torres. Superior Court of California, County of San Joaquin, California. Jury Trial. March 3, 2015. SF125122.
- 206. The People of the State of California vs. Brian Michael Hrenko. Superior Court of California, County of San Joaquin, California. Jury Trial. March 18, 2015. SF125618.
- 207. The People of the State of California vs. Kenneth Charles Stringfellow. Superior Court of California, County of Plumas, California. Jury Trial. March 30, 2015. F13-00929.
- 208. The People of the State of California vs Keith Darnell Denegal, Jr. Superior Court of California, County of San Joaquin. California. Preliminary Hearing. April 6, 2015. SF129019.
- 209. The People of the State of California vs Yor Xiong. Superior Court of California, County of San Joaquin, California. Jury Trial. April 7, 2015. SF120774.
- 210. The People of the State of California vs Charlie Srey. Superior Court of California, County of San Joaquin, California. Preliminary Hearing. April 13, 2015. SF127198.
- 211. The People of the State of California vs Terrance Jerome Boone, Christon Williams Clark Jones. Superior Court of California, County of San Joaquin, California. Preliminary Hearing. April 14, 2015. SF129328.
- 212. The People of the State of California vs. Zachary Hurlburt-Erhardt. Superior Court of California, County of San Joaquin, California. Grand Jury Hearing. May 7, 2015. MP14-25661.
- 213. The People of the State of California vs. Bryan Scott and Valentina Ann Paz. Superior Court of California, County of San Joaquin, California. Preliminary Hearing. May 12, 2015. SP14-00215.

- 214. The People of the State of California vs. Juan Cristobal Sanchez. Superior Court of California, County of San Joaquin, California. Preliminary Hearing. May 21, 2015. SO14-17957.
- 215. The State of Nebraska vs. Brittney Pryce. District Court of Custer County, Nebraska. Deposition. May 29, 2016 and July 1, 2016. CR14-18.
- 216. The People of the State of California vs. Aaron Kajaun Mckenzie. Superior Court of California, County of San Joaquin, California. Preliminary Hearing. June 8, 2015. SP12-10681.
- 217. The People of the State of California vs. Anthony Nabor Orosco. Superior Court of California, County of San Joaquin, California. Jury Trial. June 17, 2015. SP13-09851.
- 218. The People of the State of California vs. Robert Lee White. Superior Court of California, County of San Joaquin, California. Preliminary Hearing. June 30, 2015. SP14-29281.
- 219. The People of the State of California vs. Fabian Scrivens. Superior Court of California, County of San Joaquin, California. Jury Trial. July 8 and 9, 2015. SP12-30066.
- 220. The People of the State of California vs. Eugene Gaines and Derrick McDowell. Superior Court of California, County of San Joaquin, California. Preliminary Hearing. August 12, 2015. SP15-05441.
- 221. The State of Mississippi vs. Patricia Redman. In the Circuit Court of Desoto County, Mississippi. Jury Trial. August 20, 2015. CR2014-0604GCD.
- 222. The People of the State of California vs. Richard Howard Watkins. Superior Court of California, County of San Joaquin, California. Preliminary Hearing. August 21, 2015. MP14-07142.
- 223. The People of the State of California vs. Anthony Blackowl. Superior Court of California, County of Sacramento, California. Jury Trial. September 1, 2015. 12F05374.
- 224. The People of the State of California vs. Alfredo Reyes Reyes. Superior Court of California, County of San Joaquin, California. Jury Trial. October 21, 2015. SO82-01452.
- 225. The People of the State of California vs. John Wilburn. Superior Court of California, County of Kern, California. Jury Trial, November 2-3, 2015. BF150017A.
- 226. The People of the State of California vs. Grant Maurice Lavender. Superior Court of California, County of San Joaquin, California. Preliminary Hearing. January 11, 2015. SP15-33905.
- 227. The People of the State of California vs. Andy Hem. Superior Court of California, County of San Joaquin, California. Preliminary Hearing. January 13, 2016. SP14-37451.
- 228. The People of the State of California vs. Leo Avita. Superior Court of California, County of San Joaquin, California. Grand Jury. January 13, 2016. SP14-25269.
- 229. The State of Nevada vs. Cody Brian Wallace. The Third Judicial District Court of the State of Nevada, County of Lyon. Jury Trial. February 15, 2016. CR 7960.

- 230. The People of the State of California vs. Ralph Hauerta Gamboa, Jesus Rodriguez and Sirenia Alcauter. Superior Court of California, County of San Joaquin, California. Prelminary Hearing. February 24, 2016. SP15-23069.
- 231. The People of the State of California vs. Christopher Lee Emery, Brian Andrew Ice, Stephen Charles Schleimer and Joseph Anthony Dibartolomeo. Superior Court of California, County of San Joaquin, California. Jury Trial. February 26, 2016. SO13-23454.
- 232. The People of the State of California vs. Longino Lamort Tolefree. Superior Court of California, County of San Joaquin, California. Jury Trial. March 3, 2016. MP14-01081.
- 233. The People of the State of California vs. Salvador Alexander Castro, II and Joseph Anthony Castro. Superior Court of San Joaquin County, California. Jury Trial. March 16, 2016. SP15-19945.
- 234. The People of the State of California vs. Shaun Terriel Perkins. Superior Court of San Joaquin County, California. Preliminary Hearing. March 21, 2016.
- 235. The People of the State of California vs. Grant Maurice Lavender. Superior Court of San Joaquin County, California. Jury Trial. April 5, 2016. SP15-33905.
- 236. The People of the State of California vs. Darnell Leshawn White and John Doe. Superior Court of San Joaquin County, California. Preliminary Hearing. April 18, 2016. SP15-40792.
- 237. The People of the State of California vs. Zachary Hurlburt Erhardt. Superior Court of San Joaquin County, California. Jury Trial. April 29, 2016. CR-2015-4095760.
- 238. The People of the State of California vs. Bryan Scott Cook. Superior Court of San Joaquin County, California. Jury Trial. May 5, 2016. SP14-00215.
- 239. The People of the State of California vs. Darnell Leshawn White. Superior Court of San Joaquin County, California, Jury Trial. July 5, 2016. SP15-40792.
- 240. The People of the State of California vs. Jorge Melchor Castro. Superior Court of the State of California, Count of Riverside, California, Jury Trial. July 12, 2016. INF1401570.
- 241. The People of the State of California vs. Bobby Sherell Miller and Kyren Nicodemus Nicholson. Superior Court of the State of California, County of San Joaquin, California, Jury Trial. July 13, 2016. SP14-06371.
- 242. The People of the State of California vs. Derrick Allen Brown. Superior Court of California, County of Riverside, California, Jury Trial. July 20, 21, 2016. RIF1400959.
- 243. The People of the State of California vs. Nicholas Medina. Superior Court of the State of California, Jury Trial. August 9, 2016. SP14-8019.

- 244. The People of the State of California vs. Sarah Josephine Zavala. Superior Court of the State of California, Preliminary Hearing. August 9, 2016. SP16-11551.
- 245. The People of the State of California vs. Terrance Jerome Boone and Christon Williams Clark Jones. Superior Court of the State of California, San Joaquin County. Jury Trial. August 19, 2016. TP14-37534.
- 246. The State of Nebraska vs. Brittney Pryce. District Court of Custer County, Nebraska. Jury Trial, August 24, 2016. CR14-18.
- 247. The People of the State of California vs. Michael McKuin. Superior Court of the State of California, San Joaquin County. Jury Trial. August 26, 2016. SO13-00014.
- 248. The People of the State of California vs. Robert Baguindo. Superior Court of the State of California, San Joaquin County. Preliminary Hearing. September 8, 2016. SP16-19073.
- 249. The People of the State of California vs. Randy Howard Francis. Superior Court of the State of California, San Joaquin County. Jury Trial. October 4, 2016. SP15-09500.
- 250. The People of the State of California vs. Darnell Deangelo Dorsey. Superior Court of the State of California, Yolo County. Jury Trial. October 17, 2016. 14000394.
- 251. The People of the State of California vs. Jorge Melchor Castro. Superior Court of the State of California, County of Riverside. Jury Trial. October 26, 2016. INF1401570.
- 252. The People of the State of California vs. Sarath Lon. Superior Court of the State of California, County of San Joaquin. Grand Jury. November 16, 2016. SP16-21917.
- 253. The People of the State of California vs. Longino Lamort Tolefree. Superior Court of the State of California, County of San Joaquin. Jury Trial. November 29, 2016. MP14-01081.
- 254. The People of the State of California vs. Marilyn Jean Gibson. Superior Court of California, County of Sacramento. Jury Trial. December 22, 2016. 16MI010914.
- 255. The People of the State of California vs. Rocky Allen Overturf. Superior Court of California, County of San Joaquin. Preliminary Hearing. February 2, 2017. EP16-01034.

## **PART 4: COURT TESTIMONIES- CIVIL CASES**

# NOTE: I began keeping records in June 2009. I do not have records from 1999 to 2009, a period of ten years.

- 1. Matthew Hicks et al, Complaint, George Walden Vs. Norfolk Southern Railway Company. The Circuit Court of Kanawha County, File No.: 02-C-9500, West Virginia. December 4, 2007. 00-C-46-RI. Deposition.
- 2. Cathy Batton, Executrix of the Estate of Dewey Batton Vs. CSX Corporation, CSX Transportation, Inc., Seaboard System Railroad, Inc., Seaboard Coast Line Railroad Company, Chessie System, Inc. New Hanover County, The General Court of Justice, Superior Court Division, North Carolina. August 4, 2008.7-CVS-4453. Deposition.
- 3. Cathy Batton, Executrix of the Estate of Dewey Batton Vs. CSX Corporation, CSX Transportation, Inc., Seaboard System Railroad, Inc., Seaboard Coast Line Railroad Company, Chessie System, Inc. The General Court of Justice, Superior Court Division, New Hanover County, North Carolina. February 23, 2009. 7-CVS-4453. Trial.
- 4. Robert T. Pritchard, Sr. and Elizabeth Ann Pritchard, his wife Vs. Dow Agro Sciences, a division of Dow Chemical Company, Southern Mill Creek Products of Ohio, and Risidex Corporation. United States District Court for the Western District of Pennsylvania. June 26, 2009. 07-CV-01621 (W.D. Pa.). Deposition.
- 5. Matthew Hicks et al, Complaint, George Walden Vs. Norfolk Southern Railway Company. The Circuit Court of Kanawha County, West Virginia. June 29, 2009. File No.: 02-C-9500. 00-C-46-RI. Deposition.
- 6. McConnell Vs. Dunfee et al. Philadelphia Court of Common Pleas, February Term, 2007, Pennsylvania. October 19, 2009. 001123. Trial.
- 7. Dolores J. Kennedy Vs. Ajex Magnethermic Corp, et al. Court of Common Pleas Cuyahoga County, Ohio. November 5, 2009. 595757. Deposition.
- 8. Clemmy L. Womack Vs. A.O. Smith Corporation et al. Court of Common Pleas Cuyahoga County, Ohio. November 5, 2009. 04-523048. Deposition.
- Jody Lynn Scanlon Vs. Life Insurance Company of North America. United States District Court, Western District of Washington at Seattle. November 13, 2009. C08-0256-JCC. Summary Judgment.
- 10. George Wertz, Administrator of the Estate of Micahel Dolinskas Vs. Showe Management Corporation and Jeffersonville Green Limited Partnership. Common Pleas Court, Franklin County, Ohio. January 8, 2010. 08 CVH 11428. Deposition.

- 11. Dalton W. and Alice Faye Holler Vs. David W. Mallow. Court of Common Pleas Bedford County, Pennsylvania. January 16, 2010. 329-2008. Deposition.
- 12. Kevan Colledge and Casey Colledge Vs. David Mallow, Mallow Home Improvement, LLP. Court of Common Pleas Bedford County, Pennsylvania. January 16, 2010. 707-2008. Deposition.
- 13. Richard and Karen Roberts on behalf of the minor children Diedre Roberts and Delaney Roberts Vs. Marletta Salzman, Karen Pihakis et al. Court of Common Pleas of Allegheny County, Orphans Court Division, Pennsylvania. March 17, 2010. 09-326. Trial.
- 14. Laura K. Balombini, Personal Representative of the Estate of William E. Goddard, Deceased Vs. Adience, Inc., et al. Court of Common Pleas Cuyahoga County, Ohio. April 28, 2010. 674360. Deposition.
- 15. Marlene Seigfried, Executrix of the Estate of William Seigfried, and Marlene Seigfried in her own right Vs. Allegheny Ludlum Corporation, et al. United States District Court for the Eastern District of Pennsylvania. April 30, 2010. MDL 875 [E.D. Pa. No. 2:09-cv-66150-ER]. Deposition.
- 16. Estate of Thomas Synder Vs. Adience, Inc., et al. Court of Common Pleas, Cuyahoga County, Ohio. May 28, 2010. 08-664830. Deposition.
- 17. Estate of Ross E. Hockemeyer Vs. Aqua Chem Inc., et al. Court of Common Pleas, Cuyahoga County, Ohio. May 28, 2010. 08-673791. Deposition.
- 18. Johana Azenas, Personal Representative of the Estate of Brianna Azenas Castillo Vs. Inova Healthcare Services d/b/a Inova Fairfax Hospital et al. Circuit Court for Fairfax County, Virginia. June 28, 2010. 2008-12969. Deposition.
- 19. Joann Reisinger, et al Vs. Dwight C. Holzhauser et al. Court of Common Pleas, Cuyahoga County, Ohio. August 9, 2010. 595346. Deposition.
- 20. Teresa Carretta, Individually and as Special Administratrix of the Estate of Alfred Carretta Vs. May Trucking Company. United States District Court, District of Southern Illinois. August 11, 2010. 09-158-MJR. Deposition.
- 21. Marlene Seigfried, Individually and as Executrix of the Estate of William Seigfried Vs. Allegheny Ludlum Corporation, et al. United States District Court for the Eastern District of Pennsylvania. September 13, 2010. 2:09-cv-66150-ER. Deposition.
- 22. Florence King, Executrix of the Estate of John R. King, Deceased Vs. Crane Co.'s. Court of Common Pleas of Cuyahoga County, Ohio. September 13, 2010. CV-08-664120. Deposition.
- 23. David Earley, Timothy Wells and Tiffani Talbert, Administratrix of the Estate of Gerald Talbert Vs. Structural Group, Inc., Pullman Power, LLC, Ohio Power Company, American Electric Power Company, Inc., Fiberglass Structural Engineering, Inc., Ershings, Inc., and John Does 1-5. Circuit Court of Marshall County, West Virginia. October 12, 2010. 06-C-153M. Deposition.

- 24. Shelly Bryant, individually and as administratrix of the Estate of Shelton Flowers, Deceased Vs. Loews Montgomery Cinemas, Inc,; Loews Cineplex Entertainment Corporation; AMC Entertainment Inc.; Marquee Holdings Inc.; LCE Holdings, Inc. Court of Common Pleas of Allegheny County, Pennsylvania. November 2, 2010. GD 07-023755. Deposition.
- 25. Maria Soledad Miramontes, et al, as administratrix of the Estate of Cresencio Huizar Vs. Hartford Insurance. Underinsured Motorist Arbitration, Arbitrator: Patrick Little, Esq., California. November 29, 2010. YYK AU 54912. Deposition.
- 26. Joseph and Toni Pinasco, individually and Estate of Joseph Pinasco, Jr. Vs. State of California, California Highway Patrol, M. Walling, S. Coffman and Does 1 thru 50, inclusive. United States District Court, Eastern District of California. December 13, 2010. 09CV-777 MCE KJM. Deposition.
- 27. Maria Soledad Miramontes, et al, as administratrix of the Estate of Cresencio Huizar. Vs. Hartford Insurance. Underinsured Motorist Arbitration, Arbitrator: Patrick Little, Esq., California. December 14, 2010. YYK AU 54912. Arbitration Testimony.
- 28. Tommy J. Robinson, et al Vs. Borg-Warner Corporation, et al. Court of Common Pleas, Cuyahoga County, Ohio. January 4, 2011. 698837. Deposition.
- 29. Andrew Silverstein, Leonard A. Silverstein and Ellen Frauenthal Vs. Simpsonwood United Methodist Conference and Retreat Center, Inc.; Aramark Corporation, and Aramark Management Services Limited Partnership. State Court of Gwinnett County, State of Georgia. Civil Action File No.: 08C-04113-3. May 6, 2011. Deposition.
- 30. Dallas Edens vs. Joseph Christopher Wehman, MD, University of Miami School of Medicine and Public Health Trust of Miami-Dade County, Florida, Jackson Memorial Hospital/Jackson Health System. In the Circuit Court of the 11<sup>th</sup> Judicial Circuit in and for Dade County, Florida. Civil Division. Case No. 09-65401. September 2, 2011. Deposition.
- 31. Rebecca Alejandres et al vs. County of San Joaquin et al, Jin-Mei Woan, MD, Alan Uyeno, MD and Sutter Tracy Community Hospital et al. Superior Court of California, County of San Joaquin, Unlimited Jurisdiction. Consolidated Case Number: 39-2008-00195896-CU-PO-STK. November 4, 2011.
- 32. Joseph and Toni Pinasco, individually, et al. vs. State of California, et al. United States District Court for the Eastern District of California. Trial. January 11, 2012. Civil Action No. 2:09-cv-00777 KJM CKD.
- 33. Duane Barkley et al vs. Regional Medical Center of San Jose et al. Superior Court of The State of California, County of Santa Clara. Deposition. February 13, 2012. Case No. 110 CV 179398.
- 34. Jacob Hussain Saheb, Individually and Administrator for the Estate of Sheik Reza Saheb, Deceased, Plaintiff vs. Michael Glynn Fulton, Danhil Containers II, Ltd.; Danhill Containers Management, LC, Defendants. 237<sup>th</sup> District Court of Lubbock County, Texas. Case No. 2010-553,317. Deposition. March 24, 2012.

- 35. Duane Barkley, Kevin Jones II, Kanisha Jones, a minor, Tanisha Jones, a minor. Vs. Regional Medical Center of San Jose, James Hinsdale, MD, Conway Lien, MD, Christopher Traver, MD, David Yeh, MD and Does 1 to 50. Superior Court of the State of California, County of Santa Clara, California. Case No.: 110 CV 179398. Deposition. April 5, 2012.
- 36. Asima Gul, an individual, and as a successor in interest, and as guardian ad litem for Aaminah Asif Ajmal vs. Garda Security Inc.; Garda CL West, Inc.; Garda World Security Corporation; Jose De Jesus Zinga; and Does 1 through 50, inclusive. Superior Court of the State of California, County of San Joaquin, California. Case No.: 39-2010-00234481-CU-PO-STK. Deposition. June 25, 2012.
- 37. Sandra Jacobs, individually and as personal representative of the Estate of Richard Owens vs. Harold G. Morse, M.D.; Hospital Medicine Consultants of Anerson, LLC and AnMed Health. In the Court of Common Pleas, State of South Carolina, County of Anderson. C. A. No. 2010-CP-04-530; C.A. No. 2010-CP-04-531. Deposition. January 4, 2013.
- 38. Glenn Shiner and Beth Shiner, His Wife, Appellants vs. Ralph W. Ralston, Jr., Executor of the Estate of Ralph W. Ralston, Sr., Deceased, Genuine Parts Company, and Suntrust Leasing Corporation. In the Superior Court of Pennsylvania. No. 1791 MDA 2011. Appeal from the Order entered September 28, 2011, in the Court of Common Pleas of Centre County, Civil Division, at No: 09-2216. Before: Bowes, Ott, and Strassburger. Filed February 22, 2013. Summary Judgement
- 39. Mandy Eisenbeis, Patricia Towle, Madisun Eisengeis, by and through her Guardian ad Litem, Mandy Eisenbeis vs. California Transplant Donor Network, County of San Joaquin, Lodi Funeral Home Inc. and Does 1 to 100. Superior Court of California, County of San Joaquin, California. Case No. 39-2012-00277909-cu-po-stk. Deposition, March 27, 2013.
- 40. Sunbridge Stockton Rehabilitation Center vs. State of California. Superior Court of California, County of San Joaquin, California. Case No. 39-2011-00270702-CU-JR-STK. Deposition, April 30, 2013.
- 41. Yolo County DESS in Re: Stone. Yolo County Superior Court. The People of the State of California, Yolo County, California. Case No. JV 12-441, 12-443. Court Room Hearing and Testimony. May 1, 2013.
- 42. Asima Gul et al vs. Garda Security, Inc. et al. San Joaquin Superior Court, Unlimited Civil Jurisdiction, California. Case No. 39-2010-00234481-CU-STK. Trial. July 18, 2013.
- 43. Mohammed Al-Qarawi vs Dominican University et al. Superior Court of California, County of San Francisco, California. Case No. CGC-11-510757. Deposition. August 7, 2013.
- 44. Daniel Cook, Jr. vs. CSX Transportation, Inc. Jefferson Circuit Court, Division 7, Commonwealth of Kentucky. Case No. 09-CI-012026. Deposition. January 27, 2014.
- 45. Melissa Humphreys et al vs. State of California. San Joaquin County Superior Court, California. Case No. 39-2009-00223770 CU PO STK. Deposition. February 7, 2014.

- 46. James G. Brian and Karen A. Brain, his wife vs. Association of Independent Oil Distributors, E.I. Du Pont De Nemours Corporation, The Lincoln Electric Company, Martin-Senour Paints, Genuine Auto Parts Company, Safety-Kleen Corporation, The Sherwin Williams Company, Zep, Inc, L Ronald Valletti and Joan Valletti d/b/a National Autobody Supply. The Court of Common Pleas of Westmoreland County, Pennsylvania. Case No. 3413 of 2011. Deposition. March 7, April 10, May 13, June 24, June 25, 2014
- 47. Shirley Oliver and Julian Oliver vs. Progressive Mountain Insurance Company. In the State Court of Fulton County, State of Georgia. File No. 11EV013248B. Deposition. May 7, 2014.
- 48. James and Jean Rushing and their marital community vs. Surgical Associates, PLLC and Gastroenterology Associates, PLLC. Superior Court of the State of Washington, County of Thurston, Washington. Deposition. November 25, 2014. 12-2-01791-1.
- 49. James and Jean Rushing and their marital community vs. Surgical Associates, PLLC and Gastroenterology Associates, PLLC. Superior Court of the State of Washington, County of Thurston, Washington. Jury Trial. January 15, 2015. 12-2-01791-1.
- 50. Oscar Rodriguez, Deceased vs. Savemart Supermarkets, permissibly self-insured, administered by Pegasus Risk Management. The Division of Workers Compensation of the State of California. Deposition. January 29, 2015. ADJ9510659.
- 51. Estate of Lonnie Lamont Ashlock, Ashlock Family 2013 Trust, Gabriel Ashlock vs Stacey Carlson. Superior Court of the State of California, County of Stanislaus, California. Jury Trial. February 11, 2015. 445230 [consolidated 445304 and 445360].
- 52. Paul H. Musciantonio, Esq. [Estate of Tanya Wilson, Estate of LaTonya Brown] vs US Securities Associates. In the Court of Common Pleas, Commonwealth of Pennsylvania, County of Philadelphia, No. 00653. Jury Trial. February 20, 2015. Civil Action No.: 111200653.
- 53. Joan Shelley, Michelle Loftis, Sandra Hoyopatubbi vs. County of San Joaquin; Sheriff Steve Moore, in his Official Capacity as Sheriff of San Joaquin County and Steve Moore, Individually. In the United States District Court, Eastern District of California. Deposition. August 10, 2015. Case No. 2:13-CV-00266-MCE-DAD.
- 54. Martin Watson, surviving widow vs. Life Insurance Company of North America. In the United States District Court, Western District of Washington, At Seattle. Deposition. January 5, 2016. Case No. 2:15-cv-00271-MJP.
- 55. Fatimah K Phoenix, Individually, and Jesse Calloway and Fatimah K. Phoenix, as Co-administrators of the Estate of Vidal C. Calloway, Deceased, Plaintiffs v. Wal-Mart Stores, Inc., Wal-Mart Stores East, LP (Delaware), U.S. Security Associates, Michael L. Burton, Jaiviere N. Pruitt, and Phille Roberts, Defendants. In the State Court of Dekalb County, State of Georgia. Deposition, February 11, 2016. Civil Action File No. 13A48475-5.
- 56. Megan Lewis-Whiteman, Individually and in her own rights as Executrix of the Estate of Rodney L. Whiteman, Deceased v. Continental Motors, Inc., et al v. United States of America, and Elizabeth

- C. Snider, Individually and as Executrix of the Estate of Daniel Snider, and Lee W. Snider, a minor, by his mother, Elizabeth C. Snider, v. Sterling Airways, Inc., et al., v. United States of America. In the United States District Court for the Eastern District of Pennsylvania. Deposition, February 27, 2016. Civil Action No. 2:13-cv-02950-JCJ; 2:13-cv-02949-JCJ.
- 57. Nelson Torres [Deceased] vs. Alternative Service Concepts, LLC. State of California, Department of Industrial Relations, Division of Workers' Compensation. Workers' Compensation Appeals Board. Case No. ADJ9586179. Deposition, March 4, 2016.
- 58. Elizabeth C. Snider, Individually and as Administratrix of the Estate of Daniel A. Snider, and Lee W. Snider, a minor, by his mother, Elizabeth C. Snider vs. Sterling Airways, Inc., et al. Unites States District Court for the Eastern District of Pennsylvania. Case No. 2:13-CV-02949. Jury Trial, January 31, 2017.

## **Yolanda Sherman**

**From:** ecf-notice@mnd.uscourts.gov

**Sent:** Wednesday, February 08, 2017 11:05 AM **To:** mndecfnotifications@mnd.uscourts.gov

**Subject:** Activity in Case 0:14-md-02551-SRN-JSM IN RE: National Hockey League Players'

Concussion Injury Litigation Declaration in Support

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### **U.S. District Court**

#### U.S. District of Minnesota

# **Notice of Electronic Filing**

The following transaction was entered by Zimmerman, Charles on 2/8/2017 at 10:04 AM CST and filed on 2/8/2017

Case Name: IN RE: National Hockey League Players' Concussion Injury Litigation

Case Number: 0:14-md-02551-SRN-JSM

Filer: Plaintiffs' Executive Committee

**Document Number: 689** 

### **Docket Text:**

Declaration of Charles S. Zimmerman in Support of [688] Memorandum in Opposition to Motion, filed by Plaintiffs' Executive Committee. (Attachments: # (1) Exhibit(s) A, # (2) Exhibit(s) B)(Zimmerman, Charles)

# 0:14-md-02551-SRN-JSM Notice has been electronically mailed to:

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